

# DOUBLE-LOOP ALGEBRAS AND THE FOCK SPACE

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**Introduction.** The main motivation of this article comes from physic : it is related to the Yangian symmetry in conformal field theory and the spinons basis. In a few words, it has been recently noticed that level one representations of the affine Lie algebra  $\widehat{\mathfrak{sl}}_n$  admit an action of a quantum group, the Yangian of type  $A_n^{(1)}$ . A quantized version of this statement says that the Fermionic Fock space admits two different actions of the quantized enveloping algebra of  $\widehat{\mathfrak{sl}}_n$ . The first one is a  $q$ -deformation of the well-known level-one representation of the affine Lie algebra (see [H], [KMS]). When  $q$  is one this representation may be viewed as a particular case of the Borel-Weil theorem for loop groups (see [PS]). The second one is a level-zero action arising from solvable lattices models (more precisely the Calogero-Sutherland and the Haldane-Shastry models, see [JKKMP], [TU], and the references therein). Quite remarkably these two constructions can be glued together to get a representation of a new object (introduced in [GKV] and [VV]) : a toroidal quantum group, i.e. a two parameters deformation of the enveloping algebra of the universal extension of the Lie algebra  $\mathfrak{sl}_n[x^{\pm 1}, y^{\pm 1}]$ . The aim of this note is three-fold. First we define a representation of the quantized toroidal algebra,  $\check{U}$ , on the Fock space generalizing the two actions of the affine quantum group previously known. For that purpose we first construct an action on the space  $\bigwedge^m(\mathbb{C}^n[z^{\pm 1}])$  for any positive integer  $m$  by means of the Schur-type duality between  $\check{U}$  and Cherednik's double affine Hecke algebra established in [VV], then we explain how to perform the limit  $m \rightarrow \infty$ . The second purpose of this article is to explain to which extend this representation can be viewed in geometrical terms, by means of correspondences on infinite flags manifolds. A complete geometric picture would require equivariant  $K$ -theory of some infinite dimensional variety. The correct definition of such  $K$ -groups will be done in another work (see [GKV] and [GG] for related works). We will mainly concentrate here on the algebraic aspects. At last, an essential point in the Fock space representation that we consider is that it involves some polynomial difference operators. It is due to the fact, proved in section 13, that the classical toroidal algebra, i.e. the specialization to  $q = 1$  of the toroidal algebra, is isomorphic to the enveloping algebra of the universal central extension of a current Lie algebra over a quantum torus.

Y. Saito, K. Takemura and D. Uglov have obtained similar results in [STU]. The computations in the proof of the formulas (12.7-8) (formula (6.16) in [STU]), not written in the first version of our paper, are different but rely on the same results from [TU] and [VV].

**1.** Fix  $q \in \mathbb{C}^\times$ . The toroidal Hecke algebra of type  $\mathfrak{gl}_m$ ,  $\ddot{\mathbf{H}}_m$ , is the unital associative algebra over  $\mathbb{C}[\mathbf{x}^{\pm 1}]$  with the generators  $T_i^{\pm 1}$ ,  $X_j^{\pm 1}$ ,  $Y_j^{\pm 1}$ ,  $i = 1, 2, \dots, m-1$ ,  $j = 1, 2, \dots, m$  and the relations

$$\begin{aligned} T_i T_i^{-1} &= T_i^{-1} T_i = 1, & (T_i + q^{-1})(T_i - q) &= 0, \\ T_i T_{i+1} T_i &= T_{i+1} T_i T_{i+1}, \\ T_i T_j &= T_j T_i \quad \text{if } |i - j| > 1, \\ X_0 Y_1 &= \mathbf{x} Y_1 X_0, & X_i X_j &= X_i X_j, & Y_i Y_j &= Y_j Y_i, \\ X_j T_i &= T_i X_j, & Y_j T_i &= T_i Y_j, & \text{if } j \neq i, i+1 \\ T_i X_i T_i &= X_{i+1}, & T_i^{-1} Y_i T_i^{-1} &= Y_{i+1}, \\ Y_2 X_1^{-1} Y_2^{-1} X_1 &= T_1^{-2}, \end{aligned}$$

where  $X_0 = X_1 X_2 \cdots X_m$ . The algebra  $\ddot{\mathbf{H}}_m$  has been introduced previously by Cherednik to prove the Macdonald conjectures (see [C1]). Let us mention that we have fixed the central element  $\mathbf{x}$  in a different way than in [C1]. Put  $Q = X_1 T_1 \cdots T_{m-1} \in \ddot{\mathbf{H}}_m$ . Then,  $T_i^{\pm 1}$ ,  $Y_j^{\pm 1}$ ,  $Q^{\pm 1}$ ,  $i = 1, 2, \dots, m-1$ ,  $j = 1, 2, \dots, m$ , is a system of generators of  $\ddot{\mathbf{H}}_m$ . Besides, for any  $i = 1, 2, \dots, m-1$  a direct computation gives  $Q Y_i Q^{-1} = Y_{i+1}$ , and  $Q Y_m Q^{-1} = \mathbf{x} Y_1$ . Indeed we have

**Proposition 1.** *The toroidal Hecke algebra  $\ddot{\mathbf{H}}_m$  admits a presentation in terms of generators  $T_i^{\pm 1}$ ,  $Y_j^{\pm 1}$ ,  $Q^{\pm 1}$ ,  $i = 1, 2, \dots, m-1$ ,  $j = 1, 2, \dots, m$ , with relations*

$$\begin{aligned} T_i T_i^{-1} &= T_i^{-1} T_i = 1, & (T_i + q^{-1})(T_i - q) &= 0, \\ T_i T_{i+1} T_i &= T_{i+1} T_i T_{i+1}, \\ T_i T_j &= T_j T_i \quad \text{if } |i - j| > 1, \\ Y_i Y_j &= Y_j Y_i, & T_i^{-1} Y_i T_i^{-1} &= Y_{i+1}, \\ Y_j T_i &= T_i Y_j, & \text{if } j \neq i, i+1 \\ Q T_{i-1} Q^{-1} &= T_i \quad (1 < i < m), & Q^2 T_{m-1} Q^{-2} &= T_1, \\ Q Y_i Q^{-1} &= Y_{i+1} \quad (1 \leq i \leq m-1), & Q Y_m Q^{-1} &= \mathbf{x} Y_1. \end{aligned}$$

**Remarks. 1.1.** Given a permutation  $w \in \mathfrak{S}_m$  and a reduced decomposition  $w = s_{i_1} s_{i_2} \cdots s_{i_k}$  in terms of the simple transpositions  $s_1, s_2, \dots, s_{m-1}$ , set as usual  $T_w = T_{i_1} T_{i_2} \cdots T_{i_k} \in \ddot{\mathbf{H}}_m$ . In particular  $T_w$  is independent of the choice of the reduced decomposition. Moreover, given a  $m$ -tuple of integers  $\mathbf{a} = (a_1, a_2, \dots, a_m)$ , denote by  $X^{\mathbf{a}}$  and  $Y^{\mathbf{a}}$  the corresponding monomials in the  $X_i$ 's and  $Y_i$ 's. It is known that the  $X^{\mathbf{a}} Y^{\mathbf{b}} T_w$ 's form a basis of  $\ddot{\mathbf{H}}_m$ .

**1.2.** One consequence of the existence of the basis of monomials above is that the subalgebra of  $\ddot{\mathbf{H}}_m$  generated by the  $T_i$ 's and the  $X_i$ 's is isomorphic to the affine Hecke algebra of type  $\mathfrak{gl}_m$ . Let denote by  $\dot{\mathbf{H}}_m$  this subalgebra. Similarly, the subalgebra  $\mathbf{H}_m \subset \ddot{\mathbf{H}}_m$  generated by the  $T_i$ 's alone is isomorphic to the finite Hecke algebra of type  $\mathfrak{gl}_m$ .

The maps

$$\omega : T_i, Q, Y_i, \mathbf{x}, q \mapsto -T_i^{-1}, (-q)^{m-1}Q, Y_i^{-1}, \mathbf{x}^{-1}, q,$$

$$\gamma : T_i, X_i, Y_i, \mathbf{x}, q \mapsto T_i, Y_i^{-1}, X_i^{-1}, \mathbf{x}, q,$$

extend uniquely to an involution and an anti-involution of  $\ddot{\mathbf{H}}_m$ . Let us remark that, if  $S_m, A_m \in \mathbf{H}_m$  are the symmetrizer and the antisymmetrizer of  $\mathbf{H}_m$ , that is to say

$$S_m = \sum_{w \in \mathfrak{S}_m} q^{l(w)} T_w \quad \text{and} \quad A_m = \sum_{w \in \mathfrak{S}_m} (-q)^{-l(w)} T_w,$$

where  $l : \mathfrak{S}_m \rightarrow \mathbb{N}$  is the length, then  $\omega(S_m) = q^{m(m-1)} A_m$ .

**2.** Fix  $p \in \mathbb{C}^\times$  and set  $\mathbf{R}_m = \mathbb{C}[z_1^{\pm 1}, z_2^{\pm 1}, \dots, z_m^{\pm 1}]$ . Consider the following operators in  $\text{End}(\mathbf{R}_m)$  :

$$t_{i,j} = (1 + s_{i,j}) \frac{q^{-1} z_i - q z_j}{z_i - z_j} - q^{-1}, \quad 1 \leq i, j \leq m,$$

$$x_i = t_{i-1,i} s_{i-1,i} \cdots t_{1,i} s_{1,i} D_i s_{i,m} t_{i,m}^{-1} \cdots s_{i,i+1} t_{i,i+1}^{-1}, \quad i = 1, 2, \dots, m,$$

$$y_i = z_i^{-1}, \quad i = 1, 2, \dots, m,$$

where  $s_{i,j}$  acts on Laurent polynomials by permuting  $z_i$  and  $z_j$ , and  $D_i$  is the difference operator such that  $(D_i f)(z_1, z_2, \dots, z_m) = f(z_1, \dots, p z_i, \dots, z_m)$ . The following result was first noticed by Cherednik.

**Proposition 2.** *The map*

$$T_i \mapsto t_{i,i+1}, \quad Y_i \mapsto y_i, \quad Q \mapsto D_1 s_{1,m} s_{1,m-1} \cdots s_{1,2}, \quad \mathbf{x} \mapsto p,$$

*extends to a representation of  $\ddot{\mathbf{H}}_m$  in  $\mathbf{R}_m$ . Moreover, in this representation  $X_i$  acts as  $x_i$ .*  $\square$

**Remark 2.** Let us recall that  $\dot{\mathbf{H}}_m \subset \ddot{\mathbf{H}}_m$  is the subalgebra generated by the  $T_i$ 's and the  $X_i$ 's. The trivial module of  $\dot{\mathbf{H}}_m$  is the one-dimensional representation such that  $T_i$  acts by  $q$  and  $X_i$  by  $q^{2i-m-1}$ . Then  $\mathbf{R}_m$  is the  $\ddot{\mathbf{H}}_m$ -module induced from the trivial representation of  $\dot{\mathbf{H}}_m$ . In other words, if  $\mathbf{I}_m \subset \ddot{\mathbf{H}}_m$  is the left ideal generated by the  $T_i - q$ 's and the  $X_i - q^{2i-m-1}$ 's, then  $\mathbf{R}_m$  is identified with the quotient  $\ddot{\mathbf{H}}_m / \mathbf{I}_m$  where  $\ddot{\mathbf{H}}_m$  acts by left translations.

**3.** Fix  $d \in \mathbb{C}^\times$  and an integer  $n \geq 3$ . The toroidal quantum group of type  $\mathfrak{sl}_n, \ddot{\mathbf{U}}$ , is the complex unital associative algebra generated by  $\mathbf{e}_{i,k}, \mathbf{f}_{i,k}, \mathbf{h}_{i,l}, \mathbf{k}_i^{\pm 1}$ , where  $i = 0, 1, \dots, n-1, k \in \mathbb{Z}, l \in \mathbb{Z}^\times$ , and the central elements  $\mathbf{c}^{\pm 1}$ . The relations are expressed in term of the formal series

$$\mathbf{e}_i(z) = \sum_{k \in \mathbb{Z}} \mathbf{e}_{i,k} \cdot z^{-k}, \quad \mathbf{f}_i(z) = \sum_{k \in \mathbb{Z}} \mathbf{f}_{i,k} \cdot z^{-k},$$

and  $\mathbf{k}_i^\pm(z) = \mathbf{k}_i^{\pm 1} \cdot \exp\left(\pm(q - q^{-1}) \sum_{k \geq 1} \mathbf{h}_{i,\pm k} \cdot z^{\mp k}\right)$ , as follows

$$\mathbf{k}_i \cdot \mathbf{k}_i^{-1} = \mathbf{c} \cdot \mathbf{c}^{-1} = 1, \quad [\mathbf{k}_i^\pm(z), \mathbf{k}_j^\pm(w)] = 0,$$

$$\begin{aligned}
\theta_{-a_{ij}}(\mathbf{c}^2 d^{-m_{ij}} w z^{-1}) \cdot \mathbf{k}_i^+(z) \cdot \mathbf{k}_j^-(w) &= \theta_{-a_{ij}}(\mathbf{c}^{-2} d^{-m_{ij}} w z^{-1}) \cdot \mathbf{k}_j^-(w) \cdot \mathbf{k}_i^+(z), \\
\mathbf{k}_i^\pm(z) \cdot \mathbf{e}_j(w) &= \theta_{\mp a_{ij}}(\mathbf{c}^{-1} d^{\mp m_{ij}} w^{\pm 1} z^{\mp 1}) \cdot \mathbf{e}_j(w) \cdot \mathbf{k}_i^\pm(z), \\
\mathbf{k}_i^\pm(z) \cdot \mathbf{f}_j(w) &= \theta_{\pm a_{ij}}(\mathbf{c} d^{\mp m_{ij}} w^{\pm 1} z^{\mp 1}) \cdot \mathbf{f}_j(w) \cdot \mathbf{k}_i^\pm(z), \\
(q - q^{-1})[\mathbf{e}_i(z), \mathbf{f}_j(w)] &= \delta(i = j) \left( \epsilon(\mathbf{c}^{-2} \cdot z/w) \cdot \mathbf{k}_i^+(\mathbf{c} \cdot w) - \epsilon(\mathbf{c}^2 \cdot z/w) \cdot \mathbf{k}_i^-(\mathbf{c} \cdot z) \right), \\
(d^{m_{ij}} z - q^{a_{ij}} w) \cdot \mathbf{e}_i(z) \cdot \mathbf{e}_j(w) &= (q^{a_{ij}} d^{m_{ij}} z - w) \cdot \mathbf{e}_j(w) \cdot \mathbf{e}_i(z), \\
(q^{a_{ij}} d^{m_{ij}} z - w) \cdot \mathbf{f}_i(z) \cdot \mathbf{f}_j(w) &= (d^{m_{ij}} z - q^{a_{ij}} w) \cdot \mathbf{f}_j(w) \cdot \mathbf{f}_i(z), \\
\{\mathbf{e}_i(z_1) \cdot \mathbf{e}_i(z_2) \cdot \mathbf{e}_j(w) - (q + q^{-1}) \cdot \mathbf{e}_i(z_1) \cdot \mathbf{e}_j(w) \cdot \mathbf{e}_i(z_2) + \mathbf{e}_j(w) \cdot \mathbf{e}_i(z_1) \cdot \mathbf{e}_i(z_2)\} &+ \\
&+ \{z_1 \leftrightarrow z_2\} = 0, \quad \text{if } a_{ij} = -1, \\
\{\mathbf{f}_i(z_1) \cdot \mathbf{f}_i(z_2) \cdot \mathbf{f}_j(w) - (q + q^{-1}) \cdot \mathbf{f}_i(z_1) \cdot \mathbf{f}_j(w) \cdot \mathbf{f}_i(z_2) + \mathbf{f}_j(w) \cdot \mathbf{f}_i(z_1) \cdot \mathbf{f}_i(z_2)\} &+ \\
&+ \{z_1 \leftrightarrow z_2\} = 0, \quad \text{if } a_{ij} = -1, \\
[\mathbf{e}_i(z), \mathbf{e}_j(w)] = [\mathbf{f}_i(z), \mathbf{f}_j(w)] &= 0 \quad \text{if } a_{ij} = 0,
\end{aligned}$$

where  $\epsilon(z) = \sum_{n=-\infty}^{\infty} z^n$ ,  $\theta_m(z) \in \mathbb{C}[[z]]$  is the expansion of  $\frac{q^m \cdot z - 1}{z - q^m}$ , and  $a_{ij}$ ,  $m_{ij}$ , are the entries of the following  $n \times n$ -matrices

$$A = \begin{pmatrix} 2 & -1 & & 0 & -1 \\ -1 & 2 & \cdots & 0 & 0 \\ & \vdots & \ddots & \vdots & \\ 0 & 0 & \cdots & 2 & -1 \\ -1 & 0 & & -1 & 2 \end{pmatrix}, \quad M = \begin{pmatrix} 0 & -1 & & 0 & 1 \\ 1 & 0 & \cdots & 0 & 0 \\ & \vdots & \ddots & \vdots & \\ 0 & 0 & \cdots & 0 & -1 \\ -1 & 0 & & 1 & 0 \end{pmatrix}.$$

Let  $\hat{\mathbf{U}}$  be the quantized enveloping algebra of  $\hat{\mathfrak{sl}}_n$ , i.e. the algebra generated by  $\mathbf{e}_i, \mathbf{f}_i, \mathbf{k}_i^{\pm 1}$  with  $i = 0, 1, \dots, n-1$  modulo the Kac-Moody type relations

$$\begin{aligned}
\mathbf{k}_i \cdot \mathbf{k}_i^{\pm 1} &= 1, \quad \mathbf{k}_i \cdot \mathbf{k}_j = \mathbf{k}_j \cdot \mathbf{k}_i, \\
\mathbf{k}_i \cdot \mathbf{e}_j &= q^{a_{ij}} \mathbf{e}_j \cdot \mathbf{k}_i, \quad \mathbf{k}_i \cdot \mathbf{f}_j = q^{-a_{ij}} \mathbf{f}_j \cdot \mathbf{k}_i, \\
[\mathbf{e}_i, \mathbf{f}_j] &= \delta(i = j) \frac{\mathbf{k}_i - \mathbf{k}_i^{-1}}{q - q^{-1}},
\end{aligned}$$

and, if  $i \neq j$ ,

$$\sum_{k=0}^{1-a_{ij}} (-1)^k \mathbf{e}_i^{(k)} \mathbf{e}_j \mathbf{e}_i^{(1-a_{ij}-k)} = \sum_{k=0}^{1-a_{ij}} (-1)^k \mathbf{f}_i^{(k)} \mathbf{f}_j \mathbf{f}_i^{(1-a_{ij}-k)} = 0,$$

where

$$\begin{aligned}
\mathbf{e}_i^{(k)} &= \mathbf{e}_i^k / [k]!, & \mathbf{f}_i^{(k)} &= \mathbf{f}_i^k / [k]!, \\
[k] &= \frac{q^k - q^{-k}}{q - q^{-1}}, & [k]! &= [k][k-1] \cdots [1].
\end{aligned}$$

Let us recall that  $\dot{\mathbf{U}}$  admits another presentation, the Drinfeld new presentation (see [D]), similar to the presentation of  $\dot{\mathbf{U}}$  above. The isomorphism between the two presentations of  $\dot{\mathbf{U}}$  is announced in [D] and proved in [B].

As indicated in [GKV] the algebra  $\ddot{\mathbf{U}}$  contains two remarkable subalgebras,  $\dot{\mathbf{U}}_h$  and  $\dot{\mathbf{U}}_v$ , both isomorphic to a quotient of  $\dot{\mathbf{U}}$ . The first one, the horizontal subalgebra, is generated by  $\mathbf{e}_{i,0}$ ,  $\mathbf{f}_{i,0}$ ,  $\mathbf{k}_i^{\pm 1}$ , with  $i = 0, 1, \dots, n-1$ . These elements satisfy the above relations. The second one, the vertical subalgebra, is generated by  $d^{ik}\mathbf{e}_{i,k}$ ,  $d^{ik}\mathbf{f}_{i,k}$ ,  $d^{il}\mathbf{h}_{i,l}$ ,  $\mathbf{k}_i^{\pm 1}$ , where  $i = 1, 2, \dots, n-1$ ,  $k \in \mathbb{Z}$  and  $l \in \mathbb{Z}^\times$ . These elements satisfy the relations of the new presentation of  $\dot{\mathbf{U}}$ . Fix  $\mathbf{e}_i = \mathbf{e}_{i,0}$  and  $\mathbf{f}_i = \mathbf{f}_{i,0}$ , for any  $i = 0, 1, \dots, n-1$ . It is convenient to fix an additional triple of elements  $\mathbf{e}_n$ ,  $\mathbf{f}_n$  and  $\mathbf{k}_n^{\pm 1}$  such that  $\dot{\mathbf{U}}_v$  is generated by  $\mathbf{e}_i$ ,  $\mathbf{f}_i$ ,  $\mathbf{k}_i^{\pm 1}$ , with  $i = 1, 2, \dots, n$ , satisfying the previous Kac-Moody type relations.

4. For any complex vector space  $V$  and any formal variable  $\zeta$  denote by  $V[\zeta^{\pm 1}]$  the tensor product  $V \otimes \mathbb{C}[\zeta^{\pm 1}]$ . Let  $v_1, v_2, \dots, v_n$  be a basis of  $\mathbb{C}^n$ . Set  $v_{i+nk} = v_i \zeta^{-k}$  for all  $i = 1, 2, \dots, n$  and all  $k \in \mathbb{Z}$ . The vectors  $v_i$ ,  $i \in \mathbb{Z}$ , form a basis of  $\mathbb{C}^n[\zeta^{\pm 1}]$ . Given  $k \in \mathbb{Z}$ , write  $k = n\underline{k} + \overline{k}$ , where  $\underline{k}$  is a certain integer and  $\overline{k} \in \{1, 2, \dots, n\}$ . The space  $\mathbb{C}^n[\zeta^{\pm 1}]$  is endowed with a representation of the quantized enveloping algebra of  $\hat{\mathfrak{sl}}_n$ ,  $\dot{\mathbf{U}}$ , such that the Kac-Moody generators act as

$$\begin{aligned} \mathbf{e}_i(v_j) &= \delta(\overline{j} = \overline{i+1}) v_{j-1}, \\ \mathbf{f}_i(v_j) &= \delta(\overline{j} = \overline{i}) v_{j+1}, \\ \mathbf{k}_i(v_j) &= q^{\delta(\overline{j}=\overline{i})-\delta(\overline{j}=\overline{i+1})} v_j, \end{aligned}$$

for all  $j \in \mathbb{Z}$  and  $i = 0, 1, 2, \dots, n-1$ , where  $\delta(P)$  is 1 if the statement  $P$  is true and 0 otherwise.

5. Consider now the tensor product  $\bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}] = (\mathbb{C}^n)^{\otimes m}[\zeta_1^{\pm 1}, \dots, \zeta_m^{\pm 1}]$ . The monomials in the  $v_i$ 's are parametrized by  $m$ -tuple of integers. Such a  $m$ -tuple can be viewed as a function  $\mathbf{j} : \mathbb{Z} \rightarrow \mathbb{Z}$  such that  $\mathbf{j}(k+m) = \mathbf{j}(k) + n$  for all  $k$ : the map  $\mathbf{j}$  is simply identified with the  $m$ -tuple  $(j_1, j_2, \dots, j_m) = (\mathbf{j}(1), \mathbf{j}(2), \dots, \mathbf{j}(m))$ . Let  $\mathcal{P}_m$  be the set of all such functions. Then,  $\bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}]$  is endowed with a  $\dot{\mathbf{U}}$  action generalizing the representation in section 4 as follows (see [GRV]) : if  $\mathbf{j} \in \mathcal{P}_m$ ,

$$\begin{aligned} \mathbf{e}_i(v_{\mathbf{j}}) &= q^{-\#\mathbf{j}^{-1}(i)} \sum_{k \in \mathbf{j}^{-1}(i+1)} q^{2\#\{l \in \mathbf{j}^{-1}(i) \mid l > k\}} v_{\mathbf{j}_k^-}, \\ \mathbf{f}_i(v_{\mathbf{j}}) &= q^{-\#\mathbf{j}^{-1}(i+1)} \sum_{k \in \mathbf{j}^{-1}(i)} q^{2\#\{l \in \mathbf{j}^{-1}(i+1) \mid l < k\}} v_{\mathbf{j}_k^+}, \\ \mathbf{k}_i(v_{\mathbf{j}}) &= q^{\#\mathbf{j}^{-1}(i) - \#\mathbf{j}^{-1}(i+1)} v_{\mathbf{j}}, \end{aligned}$$

where  $i = 0, 1, \dots, n-1$ ,  $v_{\mathbf{j}} = v_{j_1} \otimes v_{j_2} \otimes \dots \otimes v_{j_m}$  and  $\mathbf{j}_k^\pm$  is the function associated to the  $m$ -tuple  $(j_1, j_2, \dots, j_k \pm 1, \dots, j_m)$ . This action commutes with the action of  $\dot{\mathbf{H}}_m$  such that :

.  $T_k$ ,  $k = 1, 2, \dots, m-1$ , is represented by  $\tau_{k,k+1}$ , where  $\tau_{k,l}$  is the automorphism

of  $\bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}]$  which acts on the  $k$ -th and  $l$ -th components as,  $\forall i, j \in \mathbb{Z}$ ,

$$v_{ij} = v_i \otimes v_j \mapsto \begin{cases} q v_{ij} & \text{if } i = j, \\ q^{-1} v_{ji} & \text{if } i < j, \\ q v_{ji} + (q - q^{-1}) v_{ij} & \text{if } i > j, \end{cases}$$

and which acts trivially on the other components,

- $Q$  is represented by  $\vartheta : v_{i_1 i_2 \dots i_m} \mapsto v_{i_m - n, i_1, \dots, i_{m-1}} = v_{i_m i_1 \dots i_{m-1}} \zeta_1$ .

We do not prove here that the operators above satisfy the relations of  $\dot{\mathbf{U}}$  and  $\dot{\mathbf{H}}_m$  since it will follow immediatly from the results in section 7 or the results in section 6.

**6.** Geometrically the formulas in the previous section may be viewed as follows. Suppose that  $q$  is a prime power and let  $\mathbb{F}$  be the field with  $q^2$  elements. Denote by  $\mathbb{K} = \mathbb{F}((z))$  the field of Laurent power series. A lattice in  $\mathbb{K}^m$  is a free  $\mathbb{F}[[z]]$ -submodule of  $\mathbb{K}^m$  of rank  $m$ . Let  $\mathcal{B}$  be the set of complete periodic flags, i.e. of sequences of lattices  $L = (L_i)_{i \in \mathbb{Z}}$  such that

$$L_i \subset L_{i+1}, \quad \dim_{\mathbb{F}}(L_{i+1}/L_i) = 1 \quad \text{and} \quad L_{i+m} = L_i \cdot z^{-1}.$$

Similarly  $\mathcal{B}^n$  is the set of  $n$ -steps periodic flags in  $\mathbb{K}^m$ , i.e. of sequences of lattices  $L^n = (L_i^n)_{i \in \mathbb{Z}}$  such that

$$L_i^n \subseteq L_{i+1}^n, \quad \text{and} \quad L_{i+n}^n = L_i^n \cdot z^{-1}.$$

The group  $GL_m(\mathbb{K})$  acts on  $\mathcal{B}$  and  $\mathcal{B}^n$  in a natural way. The orbits of the diagonal action of  $GL_m(\mathbb{K})$  on  $\mathcal{B}^n \times \mathcal{B}$  are parametrized by  $\mathcal{P}_m$ . If  $e_1, e_2, \dots, e_m \in \mathbb{K}^m$  is the canonical basis and  $e_{i+mk} = e_i z^{-k}$  for all  $k \in \mathbb{Z}$ , we associate to  $\mathbf{j} \in \mathcal{P}_m$  the orbit, say  $\mathcal{O}_{\mathbf{j}}$ , of the pair  $(L_{\mathbf{j}}^n, L)$  where

$$L_{\mathbf{j},i}^n = \prod_{\mathbf{j}(j) \leq i} \mathbb{F} e_j \quad \text{and} \quad L_i = \prod_{j \leq i} \mathbb{F} e_j.$$

Let  $\mathbb{C}_{GL_m(\mathbb{K})}[\mathcal{B} \times \mathcal{B}]$  and  $\mathbb{C}_{GL_m(\mathbb{K})}[\mathcal{B}^n \times \mathcal{B}^n]$  be the convolution algebras of invariant complex functions supported on a finite number of orbits. It is well known that  $\mathbb{C}_{GL_m(\mathbb{K})}[\mathcal{B} \times \mathcal{B}]$  is isomorphic to  $\dot{\mathbf{H}}_m$  (see [IM]). Similarly if  $i = 0, 1, \dots, n-1$  let  $m_i, \chi_i^{\pm}, \chi^0 \in \mathbb{C}_{GL_m(\mathbb{K})}[\mathcal{B}^n \times \mathcal{B}^n]$  be such that

- $m_i(L', L) = \dim(L_i/L_0), \quad \forall L, L' \in \mathcal{B}^n,$

- $\chi_i^{\pm}$  is the characteristic function of the set

$$\{(L^{\pm}, L^{\mp}) \in \mathcal{B}^n \times \mathcal{B}^n \mid L_j^{-} \subset L_j^{+} \quad \text{and} \quad \dim(L_j^{+}/L_j^{-}) = \delta(\bar{i} = \bar{j}), \quad \forall j \in \mathbb{Z}\},$$

- $\chi^0$  is the characteristic function of the diagonal in  $\mathcal{B}^n \times \mathcal{B}^n$ .

Then the map

$$\mathbf{e}_i \mapsto q^{m_{i-1}-m_i} \chi_i^{+}, \quad \mathbf{f}_i \mapsto q^{m_i-m_{i+1}} \chi_i^{-}, \quad \mathbf{k}_i \mapsto q^{2m_i-m_{i-1}-m_{i+1}} \chi^0,$$

extends to an algebra homomorphism  $\dot{\mathbf{U}} \rightarrow \mathbb{C}_{GL_m(\mathbb{K})}[\mathcal{B}^n \times \mathcal{B}^n]$ . This statement is stated without a proof in [GV; Theorem 9.2]. For the convenience of the reader a proof is given in the appendix. Let us mention however that this computation is nothing but an adaptation of the non affine case proved in [BLM]. As a consequence, the convolution product induces an action of  $\dot{\mathbf{U}}$  and  $\dot{\mathbf{H}}_m$  on  $\mathbb{C}_{GL_m(\mathbb{K})}[\mathcal{B}^n \times \mathcal{B}]$ .

**Proposition 6.** *The isomorphism of vector spaces  $\bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}] \xrightarrow{\sim} \mathbb{C}_{GL_m(\mathbb{K})}[\mathcal{B}^n \times \mathcal{B}]$  mapping  $v_{\mathbf{j}}$  to the characteristic function of the orbit  $\mathcal{O}_{\mathbf{j}}$  is an isomorphism of  $\dot{\mathbf{U}} \times \dot{\mathbf{H}}_m$ -modules between the representation of section 5 and the representation by convolution.*

*Proof.* Put  $G = GL_m(\mathbb{K})$  and let  $B \subset G$  be the Iwahori subgroup, i.e. the subgroup of matrices mapping each  $e_i$  to a linear combination of the type

$$\sum_{j \leq i} a_{ij} e_j \quad \text{with} \quad a_{ij} \in \mathbb{F}, \quad a_{ii} \neq 0.$$

Let us first compute the  $\dot{\mathbf{H}}_m$ -action. In order to simplify the notations we fix  $m = 2$ . For any  $a, b \in \mathbb{Z}$  let  $L(a, b) \subset \mathbb{K}^2$  be the lattice with basis  $(e_{1+2a}, e_{2+2b})$ , i.e.

$$L(a, b) = \left( \prod_{k \leq a} \mathbb{F} e_{1+2k} \right) \oplus \left( \prod_{k \leq b} \mathbb{F} e_{2+2k} \right).$$

Let us recall that the element  $L \in \mathcal{B}$  is the sequence of lattices such that  $L_0 = L(-1, -1)$ ,  $L_1 = L(0, -1)$  and  $L_{i+2} = L_i \cdot z^{-1}$  for any  $i \in \mathbb{Z}$ . In particular,

$$\mathcal{O}_{\mathbf{j}} \cap (\mathcal{B}^n \times \{L\}) = (B \cdot L_{\mathbf{j}}^n) \times \{L\}.$$

By definition, the isomorphism  $\dot{\mathbf{H}}_m \xrightarrow{\sim} \mathbb{C}_G[\mathcal{B} \times \mathcal{B}]$  maps  $T_1$  to  $q^{-1}$  times the characteristic function of the  $G$ -orbit

$$G \cdot (L', L) \subset \mathcal{B} \times \mathcal{B},$$

where  $L'_0 = L_0$  and  $L'_1 = L(-1, 0)$ . For any  $t \in \mathbb{F}$  fix  $\phi_t \in G$  such that  $\phi_t(e_1) = te_1 + e_2$  and  $\phi_t(e_2) = e_1$ . The map

$$\mathbb{F} \rightarrow (G \cdot (L', L)) \cap (\mathcal{B} \times \{L\}), \quad t \mapsto (\phi_t(L), L)$$

is an isomorphism. The convolution product

$$\star : \mathbb{C}_G[\mathcal{B}^n \times \mathcal{B}] \otimes \mathbb{C}_G[\mathcal{B} \times \mathcal{B}] \rightarrow \mathbb{C}_G[\mathcal{B}^n \times \mathcal{B}]$$

is defined as

$$f \star g(L_{\mathbf{k}}^n, L) = \sum_{L'' \in \mathcal{B}} f(L_{\mathbf{k}}^n, L'') \cdot g(L'', L).$$

Thus,

$$T_1(v_{\mathbf{j}}) = q^{-1} \sum_{\mathbf{k} \in \mathcal{P}_2} n(\mathbf{j}, \mathbf{k}) v_{\mathbf{k}}, \quad \text{where} \quad n(\mathbf{j}, \mathbf{k}) = \#\{t \in \mathbb{F} \mid \phi_t^{-1}(L_{\mathbf{k}}^n) \in B \cdot L_{\mathbf{j}}^n\}.$$

- Suppose first that  $j_1 = j_2$ . In this case  $L_{\mathbf{j}}^n$  is a sequence of lattices of the type  $L(a, a)$  where  $a \in \mathbb{Z}$ . Thus,  $L_{\mathbf{j}}^n$  is fixed by  $B$  and by  $\phi_t$  for all  $t$  and  $T_1(v_{\mathbf{j}}) = q v_{\mathbf{j}}$ .
- Suppose that  $j_1 < j_2$ . Then  $L_{\mathbf{j}}^n$  is a sequence of lattices of the type  $L(a, b)$  with  $a \geq b$  and the inequality is strict for at least one lattice in the sequence. The only possibility to get  $L_{\mathbf{k}}^n \in \phi_t(B \cdot L_{\mathbf{j}}^n)$  for some  $\mathbf{k} \in \mathcal{P}_m$  and some  $t \in \mathbb{F}$  is that  $t = 0$  and, then, necessarily  $\mathbf{k} = (j_2, j_1)$ . Thus,  $T_1(v_{\mathbf{j}}) = q^{-1} v_{j_2 j_1}$ .
- If  $j_1 > j_2$  the formula for  $T_1(v_{\mathbf{j}})$  follows from the previous case and the relation  $(T_1 + q^{-1})(T_1 - q)$ .

As for the  $Q$  it is immediate that  $\vartheta$  is the convolution product by the characteristic function of the  $G$ -orbit of the pair  $(L'', L)$ , where  $L_i'' = L_{i+1}$  for all  $i \in \mathbb{Z}$ . Let us now compute the  $\dot{\mathbf{U}}$ -action. The integer  $m$  is no longer supposed to be 2. We consider the convolution product

$$\star : \mathbb{C}_G[\mathcal{B}^n \times \mathcal{B}^n] \otimes \mathbb{C}_G[\mathcal{B}^n \times \mathcal{B}] \rightarrow \mathbb{C}_G[\mathcal{B}^n \times \mathcal{B}].$$

Given  $\mathbf{j}, \mathbf{k} \in \mathcal{P}_m^0$  let  $\chi_{\mathbf{j}, \mathbf{k}} \in \mathbb{C}_G[\mathcal{B}^n \times \mathcal{B}^n]$  be the characteristic function of the  $G$ -orbit of the pair  $(L_{\mathbf{j}}^n, L_{\mathbf{k}}^n)$ . By definition the map  $\dot{\mathbf{U}} \rightarrow \mathbb{C}_G[\mathcal{B}^n \times \mathcal{B}^n]$  send  $\mathbf{e}_i$ ,  $\mathbf{f}_i$  and  $\mathbf{k}_i$  respectively to

$$\begin{aligned} & \sum_{\mathbf{j} \in \mathcal{P}_m^0} q^{-\#\mathbf{j}^{-1}(i)} \delta(\mathbf{j}^{-1}(i+1) \neq \emptyset) \chi_{\mathbf{j}_{s+1}^-, \mathbf{j}}, \\ & \sum_{\mathbf{j} \in \mathcal{P}_m^0} q^{-\#\mathbf{j}^{-1}(i+1)} \delta(\mathbf{j}^{-1}(i) \neq \emptyset) \chi_{\mathbf{j}_s^+, \mathbf{j}}, \\ & \sum_{\mathbf{j} \in \mathcal{P}_m^0} q^{\#\mathbf{j}^{-1}(i) - \#\mathbf{j}^{-1}(i+1)} \chi_{\mathbf{j}, \mathbf{j}}, \end{aligned}$$

where  $s = \max \mathbf{j}^{-1}(i)$  and  $i = 0, 1, \dots, n-1$ . Suppose first that  $\mathbf{j} \in \mathcal{P}_m^0$ . Then

$$\mathcal{O}_{\mathbf{j}} \cap (\mathcal{B}^n \times \{L\}) = \{(L_{\mathbf{j}}^n, L)\}.$$

If  $\mathbf{j}^{-1}(i+1) \neq \emptyset$  then

$$(G \cdot (L_{\mathbf{j}_{s+1}^-}^n, L_{\mathbf{j}}^n)) \cap (\mathcal{B}^n \times \{L_{\mathbf{j}}^n\}) = \{L_{\mathbf{j}_k^-}^n \mid k \in \mathbf{j}^{-1}(i+1)\} \times \{L_{\mathbf{j}}^n\},$$

and, thus,

$$\mathbf{e}_i(v_{\mathbf{j}}) = q^{-\#\mathbf{j}^{-1}(i)} \sum_{k \in \mathbf{j}^{-1}(i+1)} v_{\mathbf{j}_k^-}.$$

The operator

$$v_{\mathbf{j}} \mapsto q^{-\#\mathbf{j}^{-1}(i)} \sum_{k \in \mathbf{j}^{-1}(i+1)} q^{2\#\{l \in \mathbf{j}^{-1}(i) \mid l > k\}} v_{\mathbf{j}_k^-}, \quad \forall \mathbf{j} \in \mathcal{P}_m,$$

commutes to  $\dot{\mathbf{H}}_m$ . When  $\mathbf{j} \in \mathcal{P}_m^0$  it is precisely the action of  $\mathbf{e}_i$  written above. Thus it coincides with  $\mathbf{e}_i$ . The proof is similar for  $\mathbf{f}_i$  and  $\mathbf{k}_i$ .  $\square$

**7.** The affine quantum group  $\dot{\mathbf{U}}$  is known to admit the structure of a Hopf algebra whose coproduct  $\Delta$  is such that for any  $i = 0, 1, \dots, n-1$

$$\Delta(\mathbf{e}_i) = \mathbf{e}_i \otimes \mathbf{k}_i + 1 \otimes \mathbf{e}_i, \quad \Delta(\mathbf{f}_i) = \mathbf{f}_i \otimes 1 + \mathbf{k}_i^{-1} \otimes \mathbf{f}_i, \quad \Delta(\mathbf{k}_i) = \mathbf{k}_i \otimes \mathbf{k}_i.$$



As a consequence,  $\dot{\mathbf{U}}$  acts on  $\bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}]$  by iterating the action of  $\mathbf{e}_i, \mathbf{f}_i, \mathbf{k}_i$  on  $\mathbb{C}^n[\zeta^{\pm 1}]$  given in section 4. Let us call this representation the *tensor representation* of  $\dot{\mathbf{U}}$ . It is important to notice that the resulting representation of  $\dot{\mathbf{U}}$  is isomorphic to the geometric one given in section 5. The purpose of this section is to write explicitly such an isomorphism. In particular it will follow that the formulas in section 5 do define a representation of  $\dot{\mathbf{U}}$ . Let us first prove the following technical result. According to the proposition 1 the action of  $\dot{\mathbf{H}}_m$  on  $\bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}]$  described in section 5 restricts to a representation of the ring  $\mathbb{C}[X_1^{\pm 1}, X_2^{\pm 1}, \dots, X_m^{\pm 1}]$ .

**Lemma 7.** *The space  $\bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}]$  is a free module over the ring  $\mathbb{C}[X_1^{\pm 1}, X_2^{\pm 1}, \dots, X_m^{\pm 1}]$ , with basis the monomials  $v_{\mathbf{j}}$  such that  $\mathbf{j}$  belongs to the set*

$$\mathcal{P}_m^1 = \{\mathbf{j} \in \mathcal{P}_m \mid 1 \leq j_1, j_2, \dots, j_m \leq n\}.$$

*Proof.* Let us consider  $q$  as a formal variable. For any  $\mathbf{j} \in \mathcal{P}_m$  we write  $\mathbf{j} = n\mathbf{j} + \bar{\mathbf{j}}$ , with  $\mathbf{j} \in \mathcal{P}_m$  and  $\bar{\mathbf{j}} \in \mathcal{P}_m^1$ . Let  $\mathbf{F}$  be the free  $\mathbb{C}[q^{\pm 1}]$ -module with basis  $\mathcal{P}_m$ . Let  $p$  be the map

$$p : \mathbf{F} \rightarrow \bigoplus_{\mathbf{j} \in \mathcal{P}_m} \mathbb{C}[q^{\pm 1}] v_{\mathbf{j}}, \quad \mathbf{j} \mapsto X^{-\mathbf{j}}(v_{\bar{\mathbf{j}}}).$$

The map  $p$  is surjective. Namely for all  $s, t, \mathbf{j}$ , there exists a monomial  $x$  in the  $T_i^{\pm 1}$ 's such that  $\sigma_{s,t} v_{\mathbf{j}} = x(v_{\mathbf{j}})$ . Thus, for all  $\mathbf{j}$  there is a monomial  $x$  in  $Q^{\pm 1}$  and the  $T_i^{\pm 1}$ 's such that  $v_{\bar{\mathbf{j}}} = x(v_{\mathbf{j}})$ . The surjectivity follows since the  $X^{\mathbf{a}} T_w$ 's form a basis of  $\dot{\mathbf{H}}_m$ . As for the injectivity, the kernel of  $p$  is free and vanishes when  $q = 1$ . Thus we are done.  $\square$

**Remark 7.1.** As a consequence if  $\mathcal{P}_m^0 = \{\mathbf{j} \in \mathcal{P}_m \mid 1 \leq j_1 \leq j_2 \leq \dots \leq j_m \leq n\}$ , then  $\bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}] = \sum_{\mathbf{j} \in \mathcal{P}_m^0} \dot{\mathbf{H}}_m \cdot v_{\mathbf{j}}$ .

Let  $\Psi$  be the unique  $\mathbb{C}[X_1^{\pm 1}, X_2^{\pm 1}, \dots, X_m^{\pm 1}]$ -linear automorphism of  $\bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}]$  such that

$$\Psi(v_{\mathbf{j}}) = q^{\#\{1 \leq s < t \leq m \mid j_s < j_t\}} v_{\mathbf{j}}, \quad \forall \mathbf{j} \in \mathcal{P}_m^1,$$

and let  $\dot{\Phi}$  be the linear isomorphism

$$\dot{\Phi} : \bigotimes^m \mathbb{C}^n[X^{\pm 1}] \xrightarrow{\sim} \bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}], \quad X^{\mathbf{a}} \otimes v_{\mathbf{j}} \mapsto X^{\mathbf{a}} \cdot \Psi(v_{\mathbf{j}}), \quad \forall \mathbf{j} \in \mathcal{P}_m^1.$$

The following result is stated without a proof in [GRV] and the analogue in the finite case is given in [GL].

**Proposition 7.** *For any  $i = 0, 1, \dots, n-1$ , the operators  $\dot{\Phi}^{-1} \circ \mathbf{e}_i \circ \dot{\Phi}$ ,  $\dot{\Phi}^{-1} \circ \mathbf{f}_i \circ \dot{\Phi}$  and  $\dot{\Phi}^{-1} \circ \mathbf{k}_i \circ \dot{\Phi}$  on  $\bigotimes^m \mathbb{C}^n[X^{\pm 1}]$  do coincide with  $\Delta^{m-1}(\mathbf{e}_i)$ ,  $\Delta^{m-1}(\mathbf{f}_i)$ , and  $\Delta^{m-1}(\mathbf{k}_i)$ . Moreover for all  $\mathbf{j} \in \mathcal{P}_m^1$  and all  $P \in \mathbb{C}[X_1^{\pm 1}, X_2^{\pm 1}, \dots, X_m^{\pm 1}]$ ,*

$$\dot{\Phi}^{-1} \circ T_k \circ \dot{\Phi}(P v_{\mathbf{j}}) = \begin{cases} q^{P^{s_k}} v_{\mathbf{j}} + (q^{-1} - q) \frac{X_{k+1}(P - P^{s_k})}{X_k - X_{k+1}} v_{\mathbf{j}} & \text{if } j_k = j_{k+1}, \\ P^{s_k} \sigma_k v_{\mathbf{j}} + (q^{-1} - q) \frac{X_{k+1}(P - P^{s_k})}{X_k - X_{k+1}} v_{\mathbf{j}} & \text{if } j_k < j_{k+1}, \\ P^{s_k} \sigma_k v_{\mathbf{j}} + (q^{-1} - q) \frac{X_{k+1}P - X_k P^{s_k}}{X_k - X_{k+1}} v_{\mathbf{j}} & \text{if } j_k > j_{k+1}, \end{cases}$$

where  $\sigma_k$  stands for  $\sigma_{k,k+1}$  and  $P^{s_k}$  is  $P$  with  $X_k$  and  $X_{k+1}$  exchanged.

*Proof.* Since  $T_k$  commutes with any polynomial symmetric in the variables  $X_k$  and  $X_{k+1}$ , we get

$$\begin{aligned} 2T_k(P v_j) &= T_k((P + P^{s_k}) + Q(X_k - X_{k+1})) v_j, \\ &= (P + P^{s_k})T_k v_j + Q T_k(X_k - X_{k+1}) v_j, \end{aligned}$$

where  $Q$  is a symmetric polynomial in  $X_k$  and  $X_{k+1}$ . Now

$$\begin{aligned} T_k X_k &= X_{k+1} T_k + (q^{-1} - q) X_{k+1}, \\ -T_k X_{k+1} &= (q^{-1} - q) X_{k+1} - X_k T_k, \end{aligned}$$

from which we get

$$T_k(P v_j) = P^{s_k} T_k v_j + (q^{-1} - q) \frac{X_{k+1}(P - P^{s_k})}{X_k - X_{k+1}} v_j.$$

Thus

$$\dot{\Phi}^{-1} \circ T_k \circ \dot{\Phi}(P v_j) = q^{\#\{1 \leq s < t \leq m \mid j_s < j_t\}} P^{s_k} \Psi^{-1}(T_k v_j) + (q^{-1} - q) \frac{X_{k+1}(P - P^{s_k})}{X_k - X_{k+1}} v_j$$

and the formulas follow from an easy case by case computation, according to the value of  $T_k v_j$  as in section 5. As for  $\dot{\mathbf{U}}$ , let consider the case of  $\mathbf{e}_i$ ,  $i = 0, 1, \dots, n-1$ , since the other cases are quite similar. Then

$$\begin{aligned} \dot{\Phi}^{-1} \circ \mathbf{e}_i \circ \dot{\Phi}(P v_j) &= \\ &= q^{\#\{s < t \mid j_s < j_t\} - \#\mathbf{j}^{-1}(i)} \sum_{k \in \mathbf{j}^{-1}(i+1)} q^{2\#\{l \in \mathbf{j}^{-1}(i) \mid l > k\}} P \Psi^{-1}(v_{j_k}^-), \\ &= \sum_{k \in \mathbf{j}^{-1}(i+1)} q^{2\#\{l \in \mathbf{j}^{-1}(i) \mid l > k\} - \#\mathbf{j}^{-1}(i) - \#\{l \in \mathbf{j}^{-1}(i+1) \mid l > k\} + \#\{l \in \mathbf{j}^{-1}(i) \mid l < k\}} P v_{j_k}^-, \\ &= \sum_{k \in \mathbf{j}^{-1}(i+1)} q^{\#\{l \in \mathbf{j}^{-1}(i) \mid l > k\} - \#\{l \in \mathbf{j}^{-1}(i+1) \mid l > k\}} P v_{j_k}^-, \\ &= \sum_{k=1}^m (1^{\otimes k-1} \otimes \mathbf{e}_i \otimes \mathbf{k}_i^{\otimes m-k}) v_j, \\ &= (\Delta^{m-1} \mathbf{e}_i) v_j. \end{aligned}$$

□

**Remark 7.2.** The action of the  $T_i$ 's on  $\bigotimes^m \mathbb{C}^n[X^{\pm 1}]$  given above and the product by the  $X_i$ 's determine a representation of  $\dot{\mathbf{H}}_m$  on the tensor module, introduced for the first time in [GRV].

**8.** Let now consider the K-theoretic analogue of the previous construction in the same way as in [GRV], [GKV]. Fix another set of formal variables  $z_1^{\pm 1}, \dots, z_m^{\pm 1}$ . The

purpose of this section is to explain how the actions of  $\dot{\mathbf{H}}_m$  and  $\dot{\mathbf{U}}$  on  $\bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}]$  defined in section 5 can be induced to commuting representations of  $\dot{\mathbf{H}}_m$  and  $\dot{\mathbf{U}}$  on the space  $\mathbf{V}_m = (\mathbb{C}^n)^{\otimes m}[\zeta_1^{\pm 1}, \dots, \zeta_m^{\pm 1}, z_1^{\pm 1}, \dots, z_m^{\pm 1}]$ . The formulas for the induced action of  $\dot{\mathbf{H}}_m$  may be taken from [C2] for instance. The generators  $T_i, Y_i, Q$  act as follows : for any  $P \in \mathbf{R}_m$  and  $v \in \bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}]$ ,

$$T_i(v \cdot P) = (\tau_{i,i+1}(v) - qv) \cdot s_{i,i+1}(P) + v \cdot t_{i,i+1}(P),$$

$$Y_i(v \cdot P) = v \cdot P z_i^{-1},$$

$$Q(v \cdot P) = \vartheta(v) \cdot D_1 s_{1,m} s_{1,m-1} \cdots s_{1,2}(P),$$

and the central element  $\mathbf{x}$  goes to a fixed  $p \in \mathbb{C}^\times$ . The operators  $t_{i,i+1}, s_{i,i+1}$  are defined in section 2 and  $\tau_{i,i+1}, \vartheta$  are defined in section 5. As for the action of  $\dot{\mathbf{U}}$  on  $\mathbf{V}_m$  let  $\mathbf{e}_i, \mathbf{f}_i, \mathbf{k}_i \in \text{End}_{\dot{\mathbf{H}}_m}(\mathbf{V}_m)$  ( $i = 0, 1, 2, \dots, n-1$ ) be as in section 5 and consider an additional triple of operators  $\mathbf{e}_n, \mathbf{f}_n, \mathbf{k}_n \in \text{End}_{\dot{\mathbf{H}}_m}(\mathbf{V}_m)$  such that for all  $\mathbf{j} \in \mathcal{P}_m^0$ ,

$$\begin{aligned} \mathbf{e}_n(v_{\mathbf{j}}) &= q^{\#\mathbf{j}^{-1}(n)-1} p^{1/n} \sum_{k \in \mathbf{j}^{-1}(1)} q^{2k-1-m} Y_k^{-1} \cdot v_{\mathbf{j}_k^-} \zeta_k^{-1}, \\ \mathbf{f}_n(v_{\mathbf{j}}) &= q^{\#\mathbf{j}^{-1}(1)+1} p^{-1/n} \sum_{k \in \mathbf{j}^{-1}(n)} q^{m-2k+1} Y_k \cdot v_{\mathbf{j}_k^+} \zeta_k, \\ \mathbf{k}_n(v_{\mathbf{j}}) &= q^{\#\mathbf{j}^{-1}(n)-\#\mathbf{j}^{-1}(1)} v_{\mathbf{j}}, \end{aligned}$$

where  $p^{1/n}$  is a fixed  $n$ -th root of  $p$ .

**Proposition 8.** *The operators  $\mathbf{e}_i, \mathbf{f}_i, \mathbf{k}_i$ ,  $i = 0, 1, 2, \dots, n-1$ , (resp.  $i = 1, 2, \dots, n$ ) define an action of  $\dot{\mathbf{U}}$  on  $\mathbf{V}_m$  commuting with  $\dot{\mathbf{H}}_m$ . Moreover these two actions of  $\dot{\mathbf{U}}$  can be glued in a representation of  $\dot{\mathbf{U}}$  commuting to  $\dot{\mathbf{H}}_m$  if  $d = q^{-1} p^{1/n}$ .*

Once again we do not prove this proposition here since it follows immediately from the proof of proposition 9.

**9.** Let us recall that  $\mathbf{V}_m = (\mathbb{C}^n)^{\otimes m}[\zeta_1^{\pm 1}, \dots, \zeta_m^{\pm 1}, z_1^{\pm 1}, \dots, z_m^{\pm 1}]$ . In section 8 we have defined an action of  $\dot{\mathbf{H}}_m$  and  $\dot{\mathbf{U}}$  on  $\mathbf{V}_m$ . The Schur duality is an equivalence of categories between finite dimensional representations of the symmetric group and of the linear group. It has been generalized to quantum groups by Jimbo, Drinfeld and Cherednik. In [VV] we proved a similar duality between  $\dot{\mathbf{H}}_m$  and  $\dot{\mathbf{U}}$ . Since the  $\dot{\mathbf{U}}$ -action on  $\mathbf{V}_m$  commutes to  $\dot{\mathbf{H}}_m$ , to any right ideal  $\mathbf{J} \subset \dot{\mathbf{H}}_m$  we can associate a representation of the quantized toroidal algebra on the quotient  $(\mathbf{J} \cdot \mathbf{V}_m) \backslash \mathbf{V}_m$ . Let consider the right  $\dot{\mathbf{H}}_m$ -module  $\mathbf{J} \backslash \dot{\mathbf{H}}_m$ . The purpose of this section is to prove that the Schur dual of  $\mathbf{J} \backslash \dot{\mathbf{H}}_m$ , as defined in [VV], is isomorphic to  $(\mathbf{J} \cdot \mathbf{V}_m) \backslash \mathbf{V}_m$ . By definition, the underlying vector space of the Schur dual of  $\mathbf{J} \backslash \dot{\mathbf{H}}_m$  is

$$(\mathbf{J} \backslash \dot{\mathbf{H}}_m) \otimes_{\mathbf{H}_m} (\mathbb{C}^n)^{\otimes m}.$$

In order to describe the action of  $\dot{\mathbf{U}}$  on this space set

$$\mathbf{e}_\theta(v_j) = \delta(j=n) v_1, \quad \mathbf{f}_\theta(v_j) = \delta(j=1) v_n, \quad \mathbf{k}_\theta(v_j) = q^{\delta(j=1)-\delta(j=n)} v_j,$$

and  $\mathbf{f}_{\theta,l} = 1^{\otimes l-1} \otimes \mathbf{f}_{\theta} \otimes (\mathbf{k}_{\theta}^{-1})^{\otimes m-l}$ ,  $\mathbf{e}_{\theta,l} = \mathbf{k}_{\theta}^{\otimes l-1} \otimes \mathbf{e}_{\theta} \otimes 1^{\otimes m-l}$ , for all  $j = 1, 2, \dots, n$  and  $l = 1, 2, \dots, m$ . Then for all  $\mathbf{j} \in \mathcal{P}_m^1$  and  $x \in \mathbf{J} \backslash \ddot{\mathbf{H}}_m$ ,

$$\begin{aligned} \mathbf{e}_0(x \otimes v_{\mathbf{j}}) &= \sum_{l=1}^m x X_l \otimes \mathbf{f}_{\theta,l}(v_{\mathbf{j}}), & \mathbf{f}_0(x \otimes v_{\mathbf{j}}) &= \sum_{l=1}^m x X_l^{-1} \otimes \mathbf{e}_{\theta,l}(v_{\mathbf{j}}), \\ \mathbf{e}_n(x \otimes v_{\mathbf{j}}) &= q^{-1} p^{1/n} \sum_{l=1}^m x Y_l^{-1} \otimes \mathbf{f}_{\theta,l}(v_{\mathbf{j}}), & \mathbf{f}_n(x \otimes v_{\mathbf{j}}) &= qp^{-1/n} \sum_{l=1}^m x Y_l \otimes \mathbf{e}_{\theta,l}(v_{\mathbf{j}}), \end{aligned}$$

and, if  $i = 1, 2, \dots, n-1$ ,

$$\mathbf{e}_i = 1 \otimes \Delta^{m-1} \mathbf{e}_i, \quad \mathbf{f}_i = 1 \otimes \Delta^{m-1} \mathbf{f}_i$$

(see [VV] and [CP] for more details). In the particular case  $\mathbf{J} = \{0\}$ , the Schur dual of the right regular module  $\ddot{\mathbf{H}}_m$  is endowed with an action of  $\ddot{\mathbf{H}}_m$  by left translations which commutes to  $\ddot{\mathbf{U}}$ .

**Proposition 9.** *Fix  $d = q^{-1}p^{1/n}$ . The  $\ddot{\mathbf{U}} \times \ddot{\mathbf{H}}_m$ -module  $\mathbf{V}_m$  is isomorphic to the Schur dual of the right regular representation of  $\ddot{\mathbf{H}}_m$ . As a consequence the quotient  $(\mathbf{J} \cdot \mathbf{V}_m) \backslash \mathbf{V}_m$  is isomorphic to the Schur dual of  $\mathbf{J} \backslash \ddot{\mathbf{H}}_m$  for any right ideal  $\mathbf{J} \subset \ddot{\mathbf{H}}_m$ .*

*Proof.* The proposition 7 implies that the bijection

$$\ddot{\Phi} : \quad \ddot{\mathbf{H}}_m \otimes_{\mathbf{H}_m} (\mathbb{C}^n)^{\otimes m} \xrightarrow{\sim} \bigotimes^m \mathbb{C}^n[X^{\pm 1}] \xrightarrow{\sim} \bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}],$$

intertwines the tensor representation of  $\ddot{\mathbf{U}}$  and  $\ddot{\mathbf{H}}_m$  given in section 7, and the convolution action of  $\ddot{\mathbf{U}}$  and  $\ddot{\mathbf{H}}_m$  described in section 5. This isomorphism extends to a bijection  $\ddot{\Phi}$

$$\ddot{\Phi} : \quad \ddot{\mathbf{H}}_m \otimes_{\mathbf{H}_m} (\mathbb{C}^n)^{\otimes m} \xrightarrow{\sim} \mathbf{V}_m, \quad Y^{\mathbf{b}} X^{\mathbf{a}} \otimes v \mapsto Y^{\mathbf{b}} X^{\mathbf{a}} \cdot \Psi(v), \quad \forall v \in (\mathbb{C}^n)^{\otimes m},$$

where  $\ddot{\mathbf{H}}_m$  acts on  $\mathbf{V}_m$  as in section 8. This morphism is well defined because the monomials  $Y^{\mathbf{b}} X^{\mathbf{a}}$  form a basis of  $\ddot{\mathbf{H}}_m$  as a right  $\mathbf{H}_m$ -module. Now,

- .  $\mathbf{V}_m$  is the  $\ddot{\mathbf{H}}_m$ -module induced from the  $\ddot{\mathbf{H}}_m$ -module  $\bigotimes^m \mathbb{C}^n[\zeta^{\pm 1}]$  (see section 8),
- .  $\ddot{\mathbf{H}}_m \otimes_{\mathbf{H}_m} (\mathbb{C}^n)^{\otimes m}$  is the  $\ddot{\mathbf{H}}_m$ -module induced from the  $\ddot{\mathbf{H}}_m$ -module  $\ddot{\mathbf{H}}_m \otimes_{\mathbf{H}_m} (\mathbb{C}^n)^{\otimes m}$ ,
- .  $\ddot{\Phi}$  is an isomorphism of  $\ddot{\mathbf{H}}_m$ -modules.

Thus  $\ddot{\Phi}$  is an isomorphism of  $\ddot{\mathbf{H}}_m$ -modules. As for the  $\ddot{\mathbf{U}}$ -action let us do a direct computation. Since  $\ddot{\Phi}$  commutes with the left action of  $\ddot{\mathbf{H}}_m$  it suffices to prove that for all  $\mathbf{j} \in \mathcal{P}_m^0$  and all  $i = 0, 1, \dots, n$ ,

$$\ddot{\Phi} \circ \mathbf{e}_i(1 \otimes v_{\mathbf{j}}) = \mathbf{e}_i \circ \ddot{\Phi}(1 \otimes v_{\mathbf{j}}) \quad \text{and} \quad \ddot{\Phi} \circ \mathbf{f}_i(1 \otimes v_{\mathbf{j}}) = \mathbf{f}_i \circ \ddot{\Phi}(1 \otimes v_{\mathbf{j}}).$$

If  $i \neq n$  this has already been proved in the proposition 7. As for the remaining

cases, we get

$$\begin{aligned}
\ddot{\Phi} \circ \mathbf{e}_n(1 \otimes v_j) &= q^{-1} p^{1/n} \sum_{l=1}^m \ddot{\Phi}(Y_l^{-1} \otimes \mathbf{f}_{\theta,l}(v_j)), \\
&= q^{-1} p^{1/n} \sum_{l=1}^m Y_l^{-1} \cdot \Psi(\mathbf{f}_{\theta,l}(v_j)), \\
&= q^{-1} p^{1/n} \sum_{l \in \mathbf{j}^{-1}(1)} q^{\sharp \mathbf{j}^{-1}(n) - \sharp \mathbf{j}^{-1}(1) + l} Y_l^{-1} \cdot \Psi(v_{\mathbf{j}_l^-} \zeta_l^{-1}), \\
&= q^{\sharp \mathbf{j}^{-1}(n) - 1 + \sharp \{s < t \mid j_s < j_t\}} p^{1/n} \sum_{l \in \mathbf{j}^{-1}(1)} q^{2l-m-1} Y_l^{-1} \cdot v_{\mathbf{j}_l^-} \zeta_l^{-1}, \\
&= \mathbf{e}_n \circ \ddot{\Phi}(1 \otimes v_j).
\end{aligned}$$

The computation for  $\mathbf{f}_n$  is similar.  $\square$

**10.** In the remaining three sections we fix  $d = q^{-1} p^{1/n}$ . Let consider the right ideal  $\gamma\omega(\mathbf{I}_m) \subset \ddot{\mathbf{H}}_m$ , where  $\mathbf{I}_m$  is the ideal defined in section 2. Let  $\bigwedge^m$  be the quotient  $(\gamma\omega(\mathbf{I}_m) \cdot \mathbf{V}_m) \backslash \mathbf{V}_m$ . Recall that  $\ddot{\mathbf{H}}_m$  acts on the tensor module

$$\bigotimes^m \mathbb{C}^n[X^{\pm 1}] = (\mathbb{C}^n)^{\otimes m}[X_1^{\pm 1}, X_2^{\pm 1}, \dots, X_m^{\pm 1}]$$

as in the proposition 7. Then, set (see [KMS])

$$\Omega = \sum_{i=1}^{m-1} \text{Ker}(T_i - q) \subset \bigotimes^m \mathbb{C}^n[X^{\pm 1}].$$

**Lemma 10.** *The space  $\bigwedge^m$  is isomorphic to the quotient  $\bigotimes^m \mathbb{C}^n[X^{\pm 1}]/\Omega$ .*

*Proof.* By definition,  $\bigwedge^m$  is isomorphic to

$$(\gamma\omega(\mathbf{I}_m) \backslash \ddot{\mathbf{H}}_m) \otimes_{\mathbf{H}_m} (\mathbb{C}^n)^{\otimes m}.$$

The left ideal  $\mathbf{I}_m$  is generated by the  $T_i - q$ 's and by  $Q - 1$ . Thus  $\gamma\omega(\mathbf{I}_m)$  is the right ideal of  $\ddot{\mathbf{H}}_m$  generated by the  $T_i + q^{-1}$ 's and the  $Y_i - q^{2i-2}$ 's. Since the monomials  $Y^{\mathbf{b}} X^{\mathbf{a}} T_w$ ,  $k \in \mathbb{Z}$ ,  $\mathbf{a}, \mathbf{b} \in \mathbb{Z}^m$ ,  $w \in \mathfrak{S}_m$ , form a basis of  $\ddot{\mathbf{H}}_m$ , the map

$$(\gamma\omega(\mathbf{I}_m) + Y^{\mathbf{b}} X^{\mathbf{a}} T_w) \otimes v \mapsto q^{2 \sum_i (i-1)b_i} X^{\mathbf{a}} (T_w v),$$

for all  $k \in \mathbb{Z}$ ,  $\mathbf{a} \in \mathbb{Z}^m$  and  $v \in (\mathbb{C}^n)^{\otimes m}$ , is an isomorphism from the space of  $q$ -wedges to the quotient of  $\bigotimes^m \mathbb{C}^n[X^{\pm 1}]$  by the right ideal generated by the  $T_i + q^{-1}$ 's. We are thus reduced to prove that

$$\sum_{i=1}^{m-1} \text{Ker}(T_i - q) = \sum_{i=1}^{m-1} \text{Im}(T_i + q^{-1})$$

in  $\bigotimes^m \mathbb{C}^n[X^{\pm 1}]$ . One inclusion follows from the relation  $(T_i - q)(T_i + q^{-1}) = 0$ . The equality can be proved by using the formulas in section 5 since

$$(\tau_{1,2} - q)(v_{ij}) = \begin{cases} 0 & \text{if } i = j, \\ q^{-1} v_{ji} - q v_{ij} & \text{if } i < j, \\ q v_{ji} - q^{-1} v_{ij} & \text{if } i > j, \end{cases}$$

and

$$(\tau_{1,2} + q^{-1})(v_{ij}) = \begin{cases} (q + q^{-1})v_{ij} & \text{if } i = j, \\ q^{-1}(v_{ji} + v_{ij}) & \text{if } i < j, \\ q(v_{ji} + v_{ij}) & \text{if } i > j, \end{cases}$$

for all  $i, j \in \mathbb{Z}$  (where, as in section 4,  $v_{i+nk} = v_i \zeta^{-k}$  for all  $k \in \mathbb{Z}$  and  $i = 1, 2, \dots, n$ ).  
□

According to [KMS], elements of  $\bigwedge^m$  are called  $q$ -wedges. From now on it will be more convenient to view the  $q$ -wedges space as the quotient  $\bigotimes^m \mathbb{C}^n[X^{\pm 1}]/\Omega$ . Let  $\wedge : \bigotimes^m \mathbb{C}^n[X^{\pm 1}] \rightarrow \bigwedge^m$  be the projection. For all  $\mathbf{j} \in \mathcal{P}_m$  we denote indifferently by

$$\wedge v_{\mathbf{j}} \quad \text{or} \quad v_{j_1} \wedge v_{j_2} \wedge \dots \wedge v_{j_m}$$

the projection of the monomial  $v_{\mathbf{j}} = v_{j_1 j_2 \dots j_m}$  in the  $q$ -wedges space. From section 9 the algebra  $\ddot{\mathbf{U}}$  acts on  $\bigwedge^m$ . Let  $\hat{x}_l$  be the image of  $\omega(X_l)$  in  $\text{End}(\mathbf{R}_m)$ , where  $X_l$  acts on  $\mathbf{R}_m$  by the operator  $x_l$  defined in section 2.

**Theorem 10.** *The action of the generators of  $\ddot{\mathbf{U}}$  on  $\bigwedge^m$  is given as follows :*  
 $\forall \mathbf{j} \in \mathcal{P}_m^1, \forall P(X) \in \mathbb{C}[X_1^{\pm 1}, X_2^{\pm 1}, \dots, X_m^{\pm 1}], \forall i = 1, 2, \dots, m-1,$

$$\begin{aligned} \mathbf{e}_i \cdot \wedge P(X^{-1}) v_{\mathbf{j}} &= \wedge (P(X^{-1}) \Delta^{m-1}(\mathbf{e}_i) \cdot v_{\mathbf{j}}), \\ \mathbf{f}_i \cdot \wedge P(X^{-1}) v_{\mathbf{j}} &= \wedge (P(X^{-1}) \Delta^{m-1}(\mathbf{f}_i) \cdot v_{\mathbf{j}}), \\ \mathbf{k}_i \cdot \wedge P(X^{-1}) v_{\mathbf{j}} &= \wedge (P(X^{-1}) \Delta^{m-1}(\mathbf{k}_i) \cdot v_{\mathbf{j}}), \\ \mathbf{e}_0 \cdot \wedge P(X^{-1}) v_{\mathbf{j}} &= \sum_{l=1}^m \wedge (X_l P(X^{-1}) \mathbf{f}_{\theta, l} \cdot v_{\mathbf{j}}), \\ \mathbf{f}_0 \cdot \wedge P(X^{-1}) v_{\mathbf{j}} &= \sum_{l=1}^m \wedge (X_l^{-1} P(X^{-1}) \mathbf{e}_{\theta, l} \cdot v_{\mathbf{j}}), \\ \mathbf{k}_0 \cdot \wedge P(X^{-1}) v_{\mathbf{j}} &= \wedge (P(X^{-1}) \mathbf{k}_{\theta}^{-1 \otimes l} \cdot v_{\mathbf{j}}), \\ \mathbf{e}_n \cdot \wedge P(X^{-1}) v_{\mathbf{j}} &= q^{-1} p^{1/n} \sum_{l=1}^m \wedge (\hat{x}_l(P)(X^{-1}) \mathbf{f}_{\theta, l} \cdot v_{\mathbf{j}}), \\ \mathbf{f}_n \cdot \wedge P(X^{-1}) v_{\mathbf{j}} &= q p^{-1/n} \sum_{l=1}^m \wedge (\hat{x}_l^{-1}(P)(X^{-1}) \mathbf{e}_{\theta, l} \cdot v_{\mathbf{j}}), \\ \mathbf{k}_n \cdot \wedge P(X^{-1}) v_{\mathbf{j}} &= \wedge (P(X^{-1}) \mathbf{k}_{\theta}^{-1 \otimes l} \cdot v_{\mathbf{j}}). \end{aligned}$$

*Proof.* According to the isomorphism in the proposition above and the description of the Schur dual recalled in section 9, we must prove that  $P(X^{-1})Y_l^{-1} - \hat{x}_l(P)(X^{-1}) \in \gamma\omega(\mathbf{I}_m)$  for all  $l$  and  $P$ . By definition of  $\hat{x}_l$  we have  $\omega(X_l)P(Y^{-1}) - \hat{x}_l(P)(Y^{-1}) \in \mathbf{I}_m$ . Thus

$$\omega\gamma(P(X^{-1})Y_l^{-1} - \hat{x}_l(P)(X^{-1})) = \omega(X_l)P(Y^{-1}) - \hat{x}_l(P)(Y^{-1}) \in \mathbf{I}_m.$$

□

**Remark 10.** A direct computation gives

$$\hat{x}_l = q^{m-1} t_{l-1,l}^{-1} s_{l-1,l} t_{l-2,l}^{-1} s_{l-2,l} \cdots t_{1,l}^{-1} s_{1,l} D_l s_{l,m} t_{l,m} \cdots s_{l,l+1} t_{l,l+1}.$$

In particular  $\hat{x}_l = D_l$  if  $q$  is one.

Let us notice that it is possible to write explicit formulas for the action of all the Drinfeld generators on the tensor module. We will use these formulas in the proof of theorem 12. Given  $1 \leq i < j \leq m$ , set

$$T_{i,j} = T_i T_{i+1} \cdots T_j \quad \text{and} \quad T_{j,i} = T_j T_{j-1} \cdots T_i.$$

**Proposition 10.** [VV; Theorem 3.3] *For any  $\mathbf{j} \in \mathcal{P}_m^0$  and  $i = 1, 2, \dots, n-1$ , set  $s = \max \mathbf{j}^{-1}(i)$ . Then,*

$$\mathbf{f}_i(w) \cdot \wedge P(X^{-1}) v_{\mathbf{j}} = q^{1-\#\mathbf{j}^{-1}(i)} \sum_{k \in \mathbf{j}^{-1}(i)} q^{s-k} \wedge P(X^{-1}) T_{k,s-1} \epsilon(q^n p^{i/n} w Y_s) v_{\mathbf{j}_s^+},$$

if  $\mathbf{j}^{-1}(i) \neq \emptyset$ , 0 else, and

$$\mathbf{e}_i(w) \cdot \wedge P(X^{-1}) v_{\mathbf{j}} = q^{1-\#\mathbf{j}^{-1}(i+1)} \sum_{k \in \mathbf{j}^{-1}(i+1)} q^{k-s-1} \wedge P(X^{-1}) T_{k-1,s+1} \epsilon(q^n p^{i/n} w Y_{s+1}) v_{\mathbf{j}_{s+1}^-},$$

if  $\mathbf{j}^{-1}(i+1) \neq \emptyset$ , 0 else.

The shift in the powers of  $q$  in [VV] is due to a different normalization of the  $T_i$ 's.

**11.** According to [KMS] set  $u_{\mathbf{j}} = X^{-\mathbf{j}} v_{\mathbf{j}}$  for all  $\mathbf{j} \in \mathcal{P}_m$ . The Fock space,  $\bigwedge^{\infty/2}$ , is the linear span of semi-infinite monomials

$$\wedge u_{\mathbf{j}} = u_{j_1} \wedge u_{j_2} \wedge u_{j_3} \wedge u_{j_4} \wedge \cdots$$

where  $j_1 > j_2 > j_3 > j_4 > \dots$  and  $j_{k+1} = j_k - 1$  for  $k \gg 1$ . It splits as a direct sum of an infinite number of *sectors*,  $\bigwedge_{(e)}^{\infty/2}$ , parametrized by an integer  $e \in \mathbb{Z}$ . Let denote by  $\mathbf{e} = (e_1, e_2, e_3, \dots)$  the infinite sequence such that  $e_k = e - k + 1$  for any  $k \in \mathbb{Z}$ . Then,  $\bigwedge_{(e)}^{\infty/2}$  is the linear span of semi-infinite monomials  $\wedge u_{\mathbf{j}}$  such that  $j_1 > j_2 > j_3 > j_4 > \dots$  and  $j_k = e_k$  for  $k \gg 1$ . In particular the sector  $\bigwedge_{(e)}^{\infty/2}$  contains the element

$$|e\rangle = u_{e_1} \wedge u_{e_2} \wedge u_{e_3} \wedge u_{e_4} \wedge \cdots$$

called the vacuum vector. The space  $\bigwedge_{(e)}^{\infty/2}$  is  $\mathbb{N}$ -graded. Recall that the height of an infinite sequence  $\mathbf{j} = (j_1, j_2, j_3, \dots)$  with only a finite number of non-zero terms is defined as  $|\mathbf{j}| = \sum_k j_k$ . Then, if  $j_1 > j_2 > j_3 > j_4 > \dots$  and  $j_k = e_k$  for  $k \gg 1$ , set

$$\deg(\wedge u_{\mathbf{j}}) = |\underline{\mathbf{j}} - \underline{\mathbf{e}}|.$$

The degree of the vacuum vector is zero. We denote by  $\Lambda_{(e)}^{\infty/2,k} \subset \Lambda_{(e)}^{\infty/2}$  the homogeneous component of degree  $k$ .

**12.** Fix  $e \in \mathbb{Z}$ ,  $p \in \mathbb{C}^\times$  and a generic  $q \in \mathbb{C}^\times$ . As before,  $m$  is a non-negative integer. We want to construct a representation of  $\ddot{\mathbf{U}}$  on the Fock space as a limit when  $m \rightarrow \infty$  of the representation of  $\ddot{\mathbf{U}}$  on  $\Lambda^m$ . It is proved in [KMS] that the *normally ordered*  $q$ -wedges, i.e. the  $\wedge u_{\mathbf{j}}$ 's such that

$$\mathbf{j} \in \mathcal{P}_m^{no} = \{\mathbf{j} \in \mathcal{P}_m \mid j_1 > j_2 > \cdots > j_m\},$$

form a basis of  $\Lambda^m$ . Put  $\mathbf{e}^m = (e_1, e_2, \dots, e_m)$ . Let  $\Lambda_{(e)}^m \subset \Lambda^m$  be the linear span of the normally ordered  $q$ -wedges  $\wedge u_{\mathbf{j}}$  such that  $\underline{\mathbf{j}} \geq \underline{\mathbf{e}}^m$ , i.e.  $j_k \geq e_k$  for all  $k$ . The space  $\Lambda_{(e)}^m$  admits a grading similar to the Fock space grading :

$$\deg(\wedge u_{\mathbf{j}}) = |\underline{\mathbf{j}} - \underline{\mathbf{e}}^m|, \quad \forall \mathbf{j} \in \mathcal{P}_m^{no} \quad \text{s.t.} \quad \underline{\mathbf{j}} \geq \underline{\mathbf{e}}^m.$$

Let  $\Lambda_{(e)}^{m,k} \subset \Lambda_{(e)}^m$  be the component of degree  $k$  and set

$$\mathcal{P}_m^{no,k} = \{\mathbf{j} \in \mathcal{P}_m^{no} \mid |\underline{\mathbf{j}} - \underline{\mathbf{e}}^m| = k\}.$$

Let  $\pi^{m,k} : \Lambda_{(e)}^{m+n,k} \longrightarrow \Lambda_{(e)}^{m,k}$  be the projections

$$\wedge u_{\mathbf{j}} \mapsto \begin{cases} \wedge u_{j_1 j_2 \dots j_m} & \text{if } \underline{j}_k = \underline{e}_l \quad \forall k, l \in [m+1, m+n], \\ 0 & \text{else,} \end{cases}$$

for all  $\mathbf{j} \in \mathcal{P}_{n+m}^{no,k}$ .

**Proposition 12.** [TU] *For any  $m, k \in \mathbb{N}$ ,*

(12.1)  $\Lambda_{(e)}^{m,k} \subset \Lambda^m$  *is  $\ddot{\mathbf{U}}_v$ -stable.*

(12.2) *If  $\overline{m} = \overline{e}$  then  $\pi^{m,k} \in \text{Hom}_{\ddot{\mathbf{U}}}(\Lambda_{(e)}^{m+n,k}, \Lambda_{(e)}^{m,k})$ . If moreover  $\underline{m} \geq k$  then  $\pi^{m,k}$  is invertible.*

(12.3) *Suppose that  $\overline{m} = \overline{e}$  and  $\underline{m} \geq k$ . Given  $s \in \mathbb{N}$  and  $\mathbf{j} = (\mathbf{i}, \mathbf{l}) \in \mathcal{P}_{m+s}^{no,k}$  with  $\mathbf{i} \in \mathcal{P}_m^{no}$  and  $\mathbf{l} \in \mathcal{P}_s^{no}$ ,*

$$\begin{cases} \underline{i}_m > \underline{l}_{m+1} = \cdots = \underline{l}_{m+s} \\ 1 \leq r \leq m \end{cases} \implies \wedge(X^{-\mathbf{i}} Y_r^{\pm 1} v_{\mathbf{j}}) = \wedge(X^{-\mathbf{i}} Y_r^{\pm 1} X^{-\mathbf{l}} v_{\mathbf{j}}).$$

*Proof.* The statement (12.1) follows from [TU; Proposition 4 and (4.28)] and (12.2) follows from [TU; Proposition 5 and 6]. The formula (12.3) follows from [TU; (4.40)], from  $\underline{m} \geq k$  and from the fact that both terms in the equality have degree  $k$ . The shift in the powers of  $q$  in [TU; (4.40)] is due to a different normalization of the  $Y_i$ 's.  $\square$

As a consequence, if  $\overline{m} = \overline{e}$  and  $\underline{m} \geq k$ , the map

$$\Lambda_{(e)}^{m,k} \longrightarrow \Lambda_{(e)}^{\infty/2,k}, \quad v \mapsto v \wedge |e_{1+m}\rangle,$$



is a linear isomorphism and  $\bigwedge_{(e)}^{\infty/2,k}$  inherits a  $\dot{\mathbf{U}}_v$ -action from  $\bigwedge_{(e)}^{m,k}$  which is independent of the choice of such an  $m$ . For any  $v \in \bigwedge_{(e)}^{m,k}$  and any  $i = 0, 1, \dots, n$  set

$$\begin{aligned}\mathbf{e}_i(v \wedge |e_{1+m}\rangle) &= \mathbf{e}_i(v) \wedge \mathbf{k}_i |e_{1+m}\rangle + v \wedge \mathbf{e}_i |e_{1+m}\rangle, \\ \mathbf{f}_i(v \wedge |e_{1+m}\rangle) &= \mathbf{f}_i(v) \wedge |e_{1+m}\rangle + \mathbf{k}_i^{-1}(v) \wedge \mathbf{f}_i |e_{1+m}\rangle, \\ \mathbf{k}_i(v \wedge |e_{1+m}\rangle) &= \mathbf{k}_i(v) \wedge \mathbf{k}_i |e_{1+m}\rangle,\end{aligned}$$

where

$$\mathbf{e}_i |e_{1+m}\rangle = 0, \quad \mathbf{f}_i |e_{1+m}\rangle = \delta(i=0) u_{e_m} \wedge |e_{2+m}\rangle, \quad \mathbf{k}_i |e_{1+m}\rangle = q^{\delta(i=0)} |e_{1+m}\rangle.$$

Thus  $\mathbf{e}_i, \mathbf{f}_i, \mathbf{k}_i$ ,  $i = 1, 2, \dots, n$ , are precisely the generators of the action of  $\dot{\mathbf{U}}_v$  on  $\bigwedge_{(e)}^{\infty/2,k}$ .

**Theorem 12.** *The formulas above define a representation of  $\ddot{\mathbf{U}}$  on each sector of the Fock space.*

*Proof.* Let define  $\phi_\infty$  as the linear automorphism of the Fock space  $\wedge u_{\mathbf{j}} \mapsto \wedge u_{1+\mathbf{j}}$ , for all normally ordered  $q$ -wedges  $\wedge u_{\mathbf{j}} \in \bigwedge^{\infty/2}$ . Let us prove that

$$(12.4) \quad \begin{aligned}\mathbf{e}_i(w) &= \phi_\infty^{-1} \circ \mathbf{e}_{i+1}(p^{1/n}w) \circ \phi_\infty, & \mathbf{f}_i(w) &= \phi_\infty^{-1} \circ \mathbf{f}_{i+1}(p^{1/n}w) \circ \phi_\infty, \\ \mathbf{k}_i^\pm(w) &= \phi_\infty^{-1} \circ \mathbf{k}_{i+1}^\pm(p^{1/n}w) \circ \phi_\infty, & \forall i &= 1, 2, \dots, n-2,\end{aligned}$$

$$(12.5) \quad \begin{aligned}\mathbf{e}_{n-1}(w) &= \phi_\infty^{-2} \circ \mathbf{e}_1(p^{2/n}w) \circ \phi_\infty^2, & \mathbf{f}_{n-1}(w) &= \phi_\infty^{-2} \circ \mathbf{f}_1(p^{2/n}w) \circ \phi_\infty^2, \\ \mathbf{k}_{n-1}^\pm(w) &= \phi_\infty^{-2} \circ \mathbf{k}_1^\pm(p^{2/n}w) \circ \phi_\infty^2.\end{aligned}$$

The algebra  $\dot{\mathbf{U}}_v$  acts on each sector. Moreover, the map

$$\mathbf{e}_{i,k} \mapsto a^k \mathbf{e}_{i+1,k}, \quad \mathbf{f}_{i,k} \mapsto a^k \mathbf{f}_{i+1,k}, \quad \mathbf{h}_{i,k} \mapsto a^k \mathbf{h}_{i+1,k},$$

(where the index  $n+1$  stands for 0) extends to an automorphism of  $\ddot{\mathbf{U}}$  for any  $a \in \mathbb{C}^\times$ . As a consequence of (12.4) and (12.5), setting

$$\mathbf{e}_0(w) = \phi_\infty^{-1} \circ \mathbf{e}_1(p^{1/n}w) \circ \phi_\infty, \quad \mathbf{f}_0(w) = \phi_\infty^{-1} \circ \mathbf{f}_1(p^{1/n}w) \circ \phi_\infty, \quad \mathbf{k}_0^\pm(w) = \phi_\infty^{-1} \circ \mathbf{k}_1^\pm(p^{1/n}w) \circ \phi_\infty,$$

we will get an action of  $\ddot{\mathbf{U}}$  on the Fock space. Since the operators  $\mathbf{e}_0, \mathbf{f}_0$  and  $\mathbf{k}_0^\pm$  coincide with the degree zero Fourier components of the formal series  $\mathbf{e}_0(w), \mathbf{f}_0(w)$  and  $\mathbf{k}_0^\pm(w)$  respectively, we will be done. For any  $m \in \mathbb{N}$ , consider the map

$$\phi_m : \bigwedge_{(e)}^m \rightarrow \bigwedge_{(e+1)}^{m+1}, \quad \wedge u_{\mathbf{j}} \mapsto \wedge u_{1+\mathbf{j}} \wedge u_{e_m}, \quad \forall \mathbf{j} \in \mathcal{P}_m^{no}.$$

Set  $h = \bar{e} + (n+1)k$  and  $\bigwedge_{(e)}^{\infty/2, \leq h} = \bigoplus_{i \leq h} \bigwedge_{(e)}^{\infty/2, i}$ . Let us observe that

$$(12.2) \quad \implies \quad \deg \phi_\infty(u) \leq h, \quad \forall u \in \bigwedge_{(e)}^{\infty/2, k}.$$

The maps  $\phi_\infty$  and  $\phi_m$  do not preserve the degree. However, if  $\overline{m} = \overline{e}$  and  $\underline{m} \geq h$ , we get the following commutative diagram

$$\begin{array}{ccc} \bigwedge_{(e)}^{\infty/2,k} & \xrightarrow{\sim} & \bigwedge_{(e)}^{m,k} \\ \phi_\infty \downarrow & & \downarrow \phi_m \\ \bigwedge_{(e+1)}^{\infty/2,\leq h} & \xrightarrow{\sim} & \bigwedge_{(e+1)}^{m+1,\leq h} \end{array},$$

where the horizontal arrows are the projections on the first  $m$  (resp.  $m+1$ ) components. Since the horizontal maps commute to  $\dot{\mathbf{U}}_v$ , it suffices to prove (12.4), (12.5) on  $\bigwedge_{(e)}^{m,k}$  with respect to  $\phi_m$ . As a consequence of the theorem 10 and [VV, proposition 3.4], the map

$$\bigwedge^m \longrightarrow \bigwedge^m, \quad \wedge u_{\mathbf{j}} \mapsto \wedge u_{1+\mathbf{j}}, \quad \forall \mathbf{j} \in \mathcal{P}_m^{no},$$

intertwines  $\mathbf{e}_i(w), \mathbf{f}_i(w), \mathbf{k}_i^\pm(w)$  and  $\mathbf{e}_{i+1}(w), \mathbf{f}_{i+1}(w), \mathbf{k}_{i+1}^\pm(w)$  for all  $i$ . For the relations (12.4) we are thus reduced to prove that for any  $\mathbf{j} = (j_1, j_2, \dots, j_{m+1}) \in \mathcal{P}_{m+1}^{no,k}$ , if  $\mathbf{i} = (j_1, j_2, \dots, j_m)$  and  $\bar{j}_{m+1} = n$  then

$$(12.6) \quad \mathbf{e}_{i,-1}(\wedge u_{\mathbf{j}}) = \mathbf{e}_{i,-1}(\wedge u_{\mathbf{i}}) \wedge u_{j_{m+1}}, \quad \mathbf{f}_{i,1}(\wedge u_{\mathbf{j}}) = \mathbf{f}_{i,1}(\wedge u_{\mathbf{i}}) \wedge u_{j_{m+1}},$$

for all  $i = 1, 2, \dots, n-2$ . Let us prove the first equality, the second being quite similar. Let  $P \in \mathbb{C}[X_1^{\pm 1}, \dots, X_m^{\pm 1}]$  and  $\mathbf{k} \in \mathcal{P}_m^0$  be such that  $\wedge u_{\mathbf{i}} = \wedge P v_{\mathbf{k}}$ . In order to simplify the notations, we omit the symbol  $\otimes$  when no confusion is possible. Put  $a = -\underline{j}_{m+1}$ . Then,

$$\begin{aligned} \mathbf{e}_{i,-1}(\wedge u_{\mathbf{j}}) &= \wedge P X_{m+1}^a \mathbf{e}_{i,-1}(v_{\mathbf{k}} v_n), \\ &= \wedge P X_{m+1}^a \mathbf{e}_{i,-1}(v_{\mathbf{k}}) v_n, \end{aligned}$$

from the formula in proposition 10. Then, use (12.3) to conclude. As for the relations (12.5), we have to prove the following formula : for any  $\mathbf{j} = (j_1, j_2, \dots, j_{m+2}) \in \mathcal{P}_{m+2}^{no,k}$  set  $\mathbf{i} = (j_1, j_2, \dots, j_m)$ . If  $\bar{j}_{m+1} = n-1$ ,  $\bar{j}_{m+2} = n$ , and  $\underline{j}_{m+1} = \underline{j}_{m+2}$ , then

$$(12.7) \quad \mathbf{e}_{n-1,-1}(\wedge u_{\mathbf{j}}) = \mathbf{e}_{n-1,-1}(\wedge u_{\mathbf{i}}) \wedge u_{j_{m+1}} \wedge u_{j_{m+2}},$$

$$(12.8) \quad \mathbf{f}_{n-1,1}(\wedge u_{\mathbf{j}}) = \mathbf{f}_{n-1,1}(\wedge u_{\mathbf{i}}) \wedge u_{j_{m+1}} \wedge u_{j_{m+2}}.$$

Let us prove (12.8) for instance. Let  $P \in \mathbb{C}[X_1^{\pm 1}, \dots, X_m^{\pm 1}]$ ,  $k, l \in \mathbb{N}$  and  $\mathbf{k} \in \mathcal{P}_{m-l-k}^0$  be such that

$$\wedge u_{\mathbf{i}} = \wedge P v_{\mathbf{k}} v_{n-1}^l v_n^k \quad \text{and} \quad \mathbf{k}^{-1}\{n-1, n\} = \emptyset.$$

Put  $a = -\underline{j}_{m+1} = -\underline{j}_{m+2}$ . Then,

$$\begin{aligned} \mathbf{f}_{n-1,1}(\wedge u_{\mathbf{j}}) &= \wedge P X_{m+1}^a X_{m+2}^a \mathbf{f}_{n-1,1}(v_{\mathbf{k}} v_{n-1}^l v_n^k v_{n-1} v_n), \\ (12.9) \quad &= \wedge P X_{m+1}^a X_{m+2}^a T_{m,m-k+1} \mathbf{f}_{n-1,1}(v_{\mathbf{k}} v_{n-1}^{l+1} v_n^{k+1}), \end{aligned}$$

$$(12.10) \quad = \wedge P X_{m+1}^a X_{m+2}^a T_{m,m-k+1} v_{\mathbf{k}} \mathbf{f}_{n-1,1}(v_{n-1}^{l+1}) v_n^{k+1},$$

$$(12.11) \quad = \wedge P X_{m+1}^a X_{m+2}^a T_{m,m-k+1} v_{\mathbf{k}} \mathbf{f}_{n-1,1}(v_{n-1}^l) v_{n-1} v_n^{k+1},$$

$$(12.12) \quad = \wedge P X_{m+1}^a X_{m+2}^a \mathbf{f}_{n-1,1}(v_{\mathbf{k}} v_{n-1}^l v_n^k) v_{n-1} v_n,$$

$$(12.13) \quad = \mathbf{f}_{n-1,1}(\wedge u_{\mathbf{i}}) \wedge u_{j_{m+1}} \wedge u_{j_{m+2}}.$$

The equalities (12.9), (12.10) and (12.12) are immediate from the formulas in propositions 7 and 10, while the equality (12.13) follows from (12.3). As for the equality (12.11), first note that

$$\begin{aligned}
\mathbf{f}_{n-1,1}(v_{n-1}^{l+1}) &= q^{-n} p^{1-n/n} \sum_{k=1}^{l+1} q^{1-k} T_{k,l} Y_{l+1}^{-1} v_{n-1}^l v_n, \\
&= q^{-l-n} p^{1-n/n} Y_{l+1}^{-1} v_{n-1}^l v_n + q^{-n} p^{1-n/n} \sum_{k=1}^l q^{1-k} T_{k,l} Y_{l+1}^{-1} v_{n-1}^l v_n, \\
&= q^{-l-n} p^{1-n/n} Y_{l+1}^{-1} v_{n-1}^l v_n + q^{-n} p^{1-n/n} \sum_{k=1}^l q^{1-k} T_{k,l-1} Y_l^{-1} v_{n-1}^{l-1} v_n v_{n-1} + \\
&\quad + q^{-n} p^{1-n/n} \sum_{k=1}^l q^{1-k} (q - q^{-1}) T_{k,l-1} Y_{l+1}^{-1} v_{n-1}^l v_n, \\
&= A v_n + \mathbf{f}_{n-1,1}(v_{n-1}^l) v_{n-1},
\end{aligned}$$

where  $A$  is an expression in the first  $l$  components. In this computation we have used the proposition 10, the equalities

$$T_l = T_l^{-1} + q - q^{-1} \quad \text{and} \quad T_l^{-1} Y_{l+1}^{-1} = Y_l^{-1} T_l,$$

and the formulas for the  $T_i$ 's given in proposition 7. Now, in order to get (12.11), it is enough to observe that

$$\wedge P X_{m+1}^a X_{m+2}^a T_{m,m-k+1} v_{\mathbf{k}} A v_n^{k+2}$$

vanishes (see [KMS, Lemma 2.2.]).  $\square$

**13.** Let us now consider the classical case, i.e.  $q = 1$ . Let  $\mathbf{A} = \mathbb{C}[z^{\pm 1}, D^{\pm 1}]$  be the algebra of polynomial difference operators in one variable  $z$ . Suppose that  $p \in \mathbb{C}^\times$  is generic. Let us recall that  $z$  and  $D$  satisfy the commutation relation  $Dz = pzD$ . The algebra of matrices with coefficients in  $\mathbf{A}$  is denoted by  $\mathfrak{gl}_n(\mathbf{A})$ . It may be viewed as a Lie algebra with the usual commutator. Let  $\mathfrak{sl}_n(\mathbf{A}) \subset \mathfrak{gl}_n(\mathbf{A})$  be the derived Lie subalgebra, i.e.  $\mathfrak{sl}_n(\mathbf{A}) = [\mathfrak{gl}_n(\mathbf{A}), \mathfrak{gl}_n(\mathbf{A})]$ . It is known that  $\mathfrak{sl}_n(\mathbf{A}) \subset \mathfrak{gl}_n(\mathbf{A})$  is the subset of matrices with trace in  $[\mathbf{A}, \mathbf{A}]$ . Since  $\mathfrak{sl}_n(\mathbf{A})$  is perfect, it admits a universal central extension (see [G] and [KL] for more details). Let  $\ddot{\mathfrak{sl}}_{n,d}$  be the complex Lie algebra generated by  $\mathbf{e}_{i,k}$ ,  $\mathbf{f}_{i,k}$ ,  $\mathbf{h}_{i,k}$ , where  $i = 0, 1, \dots, n-1$ ,  $k \in \mathbb{Z}$ , and a central element  $\mathbf{c}$ , modulo the relations

$$\begin{aligned}
[\mathbf{h}_{i,k}, \mathbf{h}_{j,l}] &= d^{km_{ij}} k \delta(k = -l) a_{ij} \mathbf{c}, \\
[\mathbf{h}_{i,k}, \mathbf{e}_{j,l}] &= d^{km_{ij}} a_{ij} \mathbf{e}_{j,k+l}, \quad [\mathbf{h}_{i,k}, \mathbf{f}_{j,l}] = -d^{km_{ij}} a_{ij} \mathbf{f}_{j,k+l}, \\
d^{-m_{ij}} [\mathbf{e}_{i,k+1}, \mathbf{e}_{j,l}] - [\mathbf{e}_{i,k}, \mathbf{e}_{j,l+1}] &= d^{-m_{ij}} [\mathbf{f}_{i,k+1}, \mathbf{f}_{j,l}] - [\mathbf{f}_{i,k}, \mathbf{f}_{j,l+1}] = 0, \\
[\mathbf{e}_{i,k}, \mathbf{f}_{j,l}] &= \delta(i = j) (\mathbf{h}_{i,k+l} + k \delta(k = -l) \mathbf{c}), \\
\text{ad}_{\mathbf{e}_{i,0}}^{1-a_{ij}} (\mathbf{e}_{j,k}) &= \text{ad}_{\mathbf{f}_{i,0}}^{1-a_{ij}} (\mathbf{f}_{j,k}) = 0 \quad \text{if } i \neq j.
\end{aligned}$$

The algebra  $\ddot{\mathbf{U}}_{|q=1}$  is the enveloping algebra of  $\ddot{\mathfrak{sl}}_{n,d}$ . It is proved in [MRY] that if  $d = 1$  then  $\ddot{\mathfrak{sl}}_{n,d}$  is isomorphic to the universal central extension, denoted  $\ddot{\mathfrak{sl}}_n$ , of  $\mathfrak{sl}_n[x^{\pm 1}, y^{\pm 1}]$ . It is proved in [K] that this Lie algebra can be described as follows :

set  $\mathbf{B} = \mathbb{C}[x^{\pm 1}, y^{\pm 1}]$  and  $\Omega_{\mathbf{B}} = \mathbf{B} dx \oplus \mathbf{B} dy$ , then  $\ddot{\mathfrak{sl}}_n = (\mathfrak{sl}_n \otimes \mathbf{B}) \oplus (\Omega_{\mathbf{B}}/d\mathbf{B})$ , with the bracket such that  $\Omega_{\mathbf{B}}/d\mathbf{B}$  is central and

$$[a \otimes f, b \otimes g] = [a, b] \otimes fg + (a|b) (df)g, \quad \forall a, b \in \mathfrak{sl}_n, \quad \forall f, g \in \mathbf{B},$$

where  $(\cdot|\cdot)$  is the normalized Killing form of  $\mathfrak{sl}_n$ , i.e.  $(a|b)$  is the trace of  $ab$ , and  $df$  is the differential of  $f$ . The following result explains why toroidal algebras can be represented by difference operators, for instance as in the previous sections. As usual, we denote by  $E_{ab}$ ,  $a, b = 1, 2, \dots, n$ , the elementary matrices of  $\mathfrak{gl}_n$ .

**Theorem 13.1.** *Set  $d = p^{1/n}$ . The map*

$$\mathbf{c} \mapsto 0,$$

$$\mathbf{e}_{i,k} \mapsto p^{ki/n} E_{i,i+1} \otimes D^{-k}, \quad \mathbf{e}_{0,0} \mapsto E_{n1} \otimes z,$$

$$\mathbf{f}_{i,k} \mapsto p^{ki/n} E_{i+1,i} \otimes D^{-k}, \quad \mathbf{f}_{0,0} \mapsto E_{1n} \otimes z^{-1},$$

$$\mathbf{h}_{i,k} \mapsto p^{ki/n} (E_{ii} - E_{i+1,i+1}) \otimes D^{-k}, \quad \mathbf{h}_{0,0} \mapsto (E_{nn} - E_{11}) \otimes 1,$$

where  $k \in \mathbb{Z}$  and  $i \neq 0$ , extends uniquely to a Lie algebra homomorphism  $\pi : \ddot{\mathfrak{sl}}_{n,d} \rightarrow \mathfrak{sl}_n(\mathbf{A})$  such that  $(\ddot{\mathfrak{sl}}_{n,d}, \pi)$  is the universal central extension of  $\mathfrak{sl}_n(\mathbf{A})$ .

*Proof.* Let  $g(z)$  be the invertible element of  $\mathfrak{gl}_n(\mathbf{A})$  defined as

$$g(z)(v_i) = z^{-\delta(i=n)} v_{\overline{1+i}}, \quad \forall i = 1, 2, \dots, n.$$

The conjugation by  $g(z)$  is an automorphism of the associative algebra  $\mathfrak{gl}_n(\mathbf{A})$  preserving the Lie subalgebra  $\mathfrak{sl}_n(\mathbf{A})$ . As for the  $\mathbf{e}_{i,k}$ 's a direct computation gives

$$g(z) \circ (E_{i,i+1} \otimes D^{-k}) \circ g(z)^{-1} = E_{i+1,i+2} \otimes D^{-k},$$

$$g(z)^2 \circ (E_{n-1,n} \otimes D^{-k}) \circ g(z)^{-2} = p^{-k} E_{12} \otimes D^{-k},$$

if  $i = 1, 2, \dots, n-2$ . Similar formulas hold for the images of  $\mathbf{f}_{i,k}$  and  $\mathbf{h}_{i,k}$ . The argument in the beginning of the proof of the theorem 12 implies that the map  $\pi$  such that

$$\mathbf{e}_{i,k} \mapsto p^{ki/n} E_{i,i+1} \otimes D^{-k},$$

$$\mathbf{f}_{i,k} \mapsto p^{ki/n} E_{i+1,i} \otimes D^{-k},$$

$$\mathbf{h}_{i,k} \mapsto p^{ki/n} (E_{ii} - E_{i+1,i+1}) \otimes D^{-k},$$

if  $k \in \mathbb{Z}$ ,  $i \neq 0$ , and

$$\mathbf{e}_{0,k} \mapsto p^k g(z) (E_{n-1,n} \otimes D^{-k}) g(z)^{-1} = E_{n1} \otimes z D^{-k},$$

$$\mathbf{f}_{0,k} \mapsto p^k g(z) (E_{n,n-1} \otimes D^{-k}) g(z)^{-1} = E_{1n} \otimes D^{-k} z^{-1},$$

$$\mathbf{h}_{0,k} \mapsto p^k g(z) ((E_{n-1,n-1} - E_{nn}) \otimes D^{-k}) g(z)^{-1} = (p^k E_{nn} - E_{11}) \otimes D^{-k},$$

is a morphism of Lie algebras  $\ddot{\mathfrak{sl}}_{n,d} \rightarrow \mathfrak{sl}_n(\mathbf{A})$ . As for the surjectivity of  $\pi$  let first note that

$$[\mathbf{A}, \mathbf{A}] = \bigoplus_{(l,k) \neq (0,0)} \mathbb{C} \cdot z^k D^l.$$

Then, as a vector space,  $\mathfrak{sl}_n(\mathbf{A})$  is the sum of  $\mathfrak{sl}_n \otimes \mathbf{A}$  and the subspace of  $\mathfrak{gl}_n(\mathbf{A})$  of diagonal matrices with coefficients in  $[\mathbf{A}, \mathbf{A}]$ . The horizontal Lie algebra (i.e. the Lie subalgebra generated by  $\mathbf{e}_{i,0}, \mathbf{f}_{i,0}, \mathbf{h}_{i,0}$  with  $i = 0, 1, \dots, n-1$ ) and the vertical Lie algebra (generated by  $\mathbf{e}_{i,k}, \mathbf{f}_{i,k}, \mathbf{h}_{i,k}$  with  $i \neq 0$ ) are isomorphic to  $\widehat{\mathfrak{sl}}_n$ , the affine Lie algebra of type  $A_{n-1}^{(1)}$ : the projection of  $\widehat{\mathfrak{sl}}_n$  onto each of them preserves the  $\mathbb{Z}$ -gradation and thus the kernel is trivial. As a consequence, the elements  $E_{ij} \otimes z^k$ ,  $E_{ij} \otimes D^l$ , with  $i \neq j$ , and  $(E_{ii} - E_{i+1,i+1}) \otimes z^k$ ,  $(E_{ii} - E_{i+1,i+1}) \otimes D^l$  are in the image of  $\pi$ . Moreover, since  $p$  is generic, we have

$$z^k D^l = \frac{1}{1 - p^{kl}} [z^k, D^l] \quad k, l \neq 0$$

and so  $E_{ij} \otimes z^k D^l$ , where  $i \neq j$ , and  $(E_{ii} - E_{i+1,i+1}) \otimes z^k D^l$  belong to the image of  $\pi$  too. Thus we need only to prove that  $E_{ii} \otimes z^k D^l \in \text{Im}(\pi)$ , for  $(k, l) \neq (0, 0)$ . In the case  $k, l \neq 0$  this follows from

$$[E_{i+1} \otimes z^k, E_{i+1} \otimes D^l] = z^k D^l (E_{ii} - p^{kl} E_{i+1,i+1}),$$

$$[E_{i+1} \otimes D^l, E_{i+1} \otimes z^k] = z^k D^l (p^{kl} E_{ii} - E_{i+1,i+1}),$$

and from the fact that  $p$  is not a root of unity. In the other cases use :

$$z^k = \frac{1}{1 - p^{-k}} [D, D^{-1} z^k], \quad D^l = \frac{1}{1 - p^l} [z, z^{-1} D^l].$$

Both algebras  $\ddot{\mathfrak{sl}}_{n,d}$  and  $\mathfrak{gl}_n(\mathbf{A})$  are graded by  $\mathbb{Z} \times Q$ , where  $Q$  is the root lattice of  $\widehat{\mathfrak{sl}}_n$ . Set

$$\deg(\mathbf{e}_{i,k}) = (k, \alpha_i), \quad \deg(\mathbf{f}_{i,k}) = (k, -\alpha_i),$$

$$\deg(\mathbf{h}_{i,k}) = (k, 0), \quad \deg(\mathbf{c}) = (0, 0),$$

and

$$\deg(E_{j,j+1} \otimes z^l D^{-k}) = (k, l\delta + \alpha_j), \quad \deg(E_{j+1,j} \otimes z^l D^{-k}) = (k, l\delta - \alpha_j),$$

$$\deg(E_{jj} \otimes z^l D^{-k}) = (k, l\delta),$$

where  $\alpha_0, \alpha_1, \dots, \alpha_{n-1}$  are the simple roots of  $\widehat{\mathfrak{sl}}_n$ ,  $\delta = \alpha_0 + \alpha_1 + \dots + \alpha_{n-1}$  and  $i = 0, 1, \dots, n-1$ . The map  $\pi$  is graded. The subspace of  $\mathfrak{sl}_n(\mathbf{A})$  of degree  $(k, \alpha)$  is one-dimensional if  $\alpha$  is a real root of  $\widehat{\mathfrak{sl}}_n$  and zero-dimensional if  $\alpha$  is non-zero and is not a root of  $\widehat{\mathfrak{sl}}_n$ . On the other hand the Lie algebra  $\ddot{\mathfrak{sl}}_{n,d}$  may be viewed as an integrable module over the horizontal Lie subalgebra which is isomorphic to  $\widehat{\mathfrak{sl}}_n$ . Thus one can prove as in [MRY] that the subspace of  $\ddot{\mathfrak{sl}}_{n,d}$  of degree  $(k, \alpha)$  is one-dimensional if  $\alpha$  is a real root of  $\widehat{\mathfrak{sl}}_n$  and zero-dimensional if  $\alpha$  is non-zero and is not a root of  $\widehat{\mathfrak{sl}}_n$ . It follows that the degree of an element of  $\text{Ker } \pi$  is in  $\mathbb{Z} \times (\mathbb{Z} \cdot \delta)$  and that  $(\ddot{\mathfrak{sl}}_{n,d}, \pi)$  is a central extension of  $\mathfrak{sl}_n(\mathbf{A})$ . The Lie algebra  $\ddot{\mathfrak{sl}}_{n,d}$  is perfect

: it is a direct consequence of the defining relations of  $\ddot{\mathfrak{sl}}_{n,d}$ . Thus, to prove that  $(\ddot{\mathfrak{sl}}_{n,d}, \pi)$  is universal it is enough to show that any central extension  $(\mathfrak{t}, \rho)$  of  $\ddot{\mathfrak{sl}}_{n,d}$  splits. Consider such an extension. To any node  $i = 0, 1, \dots, n-1$  of the Dynkin diagram of  $\widehat{\mathfrak{sl}}_n$  we associate a vertical Lie subalgebra,  $\mathfrak{s}_i$ , of  $\ddot{\mathfrak{sl}}_{n,d}$  by removing the generators  $\mathbf{e}_{i,k}$ ,  $\mathbf{f}_{i,k}$  and  $\mathbf{h}_{i,k}$ . Since the Lie algebras  $\mathfrak{s}_i$  are isomorphic to  $\widehat{\mathfrak{sl}}_n$ , the restriction of  $(\mathfrak{t}, \rho)$  to each of the  $\mathfrak{s}_i$ 's splits. For each  $i$  choose such a splitting  $f_i : \mathfrak{s}_i \rightarrow \rho^{-1}(\mathfrak{s}_i)$ . If  $i$  and  $j$  are distincts, the Lie algebra  $\mathfrak{s}_i \cap \mathfrak{s}_j$  is the direct sum of two affine Lie algebras. Thus there exists at most one morphism from  $\mathfrak{s}_i \cap \mathfrak{s}_j$  to  $\rho^{-1}(\mathfrak{s}_i \cap \mathfrak{s}_j)$  (see [G, Lemma 1.5]). As a consequence the splittings  $f_i$ 's glue together in a splitting of  $(\mathfrak{t}, \rho)$  and we are done.  $\square$

A similar theorem holds in the case  $p \rightarrow 1$ . Let  $\ddot{\mathfrak{sl}}_{n,\partial}$  be the complex Lie algebra generated by  $\mathbf{e}_{i,k}$ ,  $\mathbf{f}_{i,k}$ ,  $\mathbf{h}_{i,k}$ , where  $i = 0, 1, \dots, n-1$ ,  $k \in \mathbb{N}$ , modulo the relations

$$[\mathbf{h}_i(z), \mathbf{h}_j(w)] = 0,$$

$$[\mathbf{h}_i(z), \mathbf{e}_j(w)] = \frac{a_{ij}}{w - z + m_{ij}}(\mathbf{e}_j(z) - \mathbf{e}_j(w)),$$

$$[\mathbf{h}_i(z), \mathbf{f}_j(w)] = -\frac{a_{ij}}{w - z + m_{ij}}(\mathbf{f}_j(z) - \mathbf{f}_j(w)),$$

$$(z - w - m_{ij})[\mathbf{e}_i(z), \mathbf{e}_j(w)] = (z - w - m_{ij})[\mathbf{f}_i(z), \mathbf{f}_j(w)] = 0,$$

$$[\mathbf{e}_i(z), \mathbf{f}_j(w)] = \frac{\delta(i=j)}{w - z}(\mathbf{h}_i(z) - \mathbf{h}_i(w)),$$

$$\text{ad}_{\mathbf{e}_{i,0}}^{1-a_{ij}}(\mathbf{e}_j(z)) = \text{ad}_{\mathbf{f}_{i,0}}^{1-a_{ij}}(\mathbf{f}_j(z)) = 0 \quad \text{if } i \neq j,$$

where  $\mathbf{e}_i(z) = \sum_{k \in \mathbb{N}} \mathbf{e}_{i,k} z^{-k-1}$ ,  $\mathbf{f}_i(z) = \sum_{k \in \mathbb{N}} \mathbf{f}_{i,k} z^{-k-1}$  and  $\mathbf{h}_i(z) = \sum_{k \in \mathbb{N}} \mathbf{h}_{i,k} z^{-k-1}$ . Put  $\partial = z \frac{d}{dz}$ .

**Theorem 13.2.** *The map*

$$\begin{aligned} \mathbf{e}_{i,k} &\mapsto E_{i,i+1} \otimes (\partial - i/n)^k, & \mathbf{e}_{0,0} &\mapsto E_{n1} \otimes z, \\ \mathbf{f}_{i,k} &\mapsto E_{i+1,i} \otimes (\partial - i/n)^k, & \mathbf{f}_{0,0} &\mapsto E_{1n} \otimes z^{-1}, \\ \mathbf{h}_{i,k} &\mapsto (E_{ii} - E_{i+1,i+1}) \otimes (\partial - i/n)^k, & \mathbf{h}_{0,0} &\mapsto (E_{nn} - E_{11}) \otimes 1, \end{aligned}$$

where  $k \in \mathbb{Z}$  and  $i \neq 0$ , extends uniquely to a Lie algebra homomorphism  $\pi : \ddot{\mathfrak{sl}}_{n,\partial} \rightarrow \mathfrak{sl}_n(\mathbb{C}[z^{\pm 1}, \partial])$  such that  $(\ddot{\mathfrak{sl}}_{n,\partial}, \pi)$  is the universal central extension of  $\mathfrak{sl}_n(\mathbb{C}[z^{\pm 1}, \partial])$ .

**Remark 13.** The algebras  $\ddot{\mathfrak{sl}}_{n,d}$  and  $\ddot{\mathfrak{sl}}_{n,\partial}$  admit a presentation similar to the double-loop presentation of  $\ddot{\mathfrak{sl}}_n$ . Let us recall it. Fix a complex unital associative algebra  $\mathbf{A}$ . Set  $Id = \sum_{i=1}^n E_{ii} \in \mathfrak{gl}_n$  and

$$[a, b]_+ = ab + ba - \frac{2}{n}(a|b) Id, \quad \forall a, b \in \mathfrak{sl}_n,$$

$$[f, g]_+ = fg + gf, \quad \forall f, g \in \mathbf{A}.$$

Let  $\mathbf{I} \subset \mathbf{A} \otimes \mathbf{A}$  be the linear span of the elements

$$f \otimes g + g \otimes f \quad \text{and} \quad fg \otimes h - f \otimes gh - g \otimes hf$$

for all  $f, g, h \in \mathbf{A}$ . Denote by  $\langle \cdot | \cdot \rangle : \mathbf{A} \otimes \mathbf{A} \rightarrow \mathbf{A} \otimes \mathbf{A} / \mathbf{I}$  the projection. The first cyclic homology group  $HC_1(\mathbf{A})$  is the kernel of the map

$$\langle \mathbf{A} | \mathbf{A} \rangle \longrightarrow [\mathbf{A}, \mathbf{A}], \quad \langle f | g \rangle \mapsto [f, g].$$

As a vector space,  $\mathfrak{sl}_n(\mathbf{A})$  is the direct sum of  $\mathfrak{sl}_n \otimes \mathbf{A}$  and  $Id \otimes [\mathbf{A}, \mathbf{A}]$ . The bracket on  $\mathfrak{sl}_n(\mathbf{A})$  is such that

$$[a \otimes f, b \otimes g] = \frac{1}{n}(a|b)Id \otimes [f, g] + \frac{1}{2}[a, b] \otimes [f, g]_+ + \frac{1}{2}[a, b]_+ \otimes [f, g],$$

$$[Id \otimes f, a \otimes g] = [a \otimes f, Id \otimes g] = a \otimes [f, g],$$

where  $a, b \in \mathfrak{sl}_n$  and  $f, g \in \mathbf{A}$ . Similarly the universal central extension of  $\mathfrak{sl}_n(\mathbf{A})$  is the direct sum of  $\mathfrak{sl}_n \otimes \mathbf{A}$  and  $\langle \mathbf{A} | \mathbf{A} \rangle$  with the bracket

$$[a \otimes f, b \otimes g] = \frac{1}{n}(a|b)\langle f | g \rangle + \frac{1}{2}[a, b] \otimes [f, g]_+ + \frac{1}{2}[a, b]_+ \otimes [f, g],$$

$$[\langle f | g \rangle, \langle f' | g' \rangle] = \langle [f, g] | [f', g'] \rangle,$$

$$[\langle f | g \rangle, a \otimes h] = a \otimes [[f, g], h].$$

In particular, the center is isomorphic to  $HC_1(\mathbf{A})$  (see [BGK, 359-360] for more details).

**Appendix.** Recall that  $q$  is a prime power and  $\mathbb{F}$  is the field with  $q^2$  elements. Denote by  $\mathbb{K} = \mathbb{F}((z))$  the field of Laurent power series and by  $\mathcal{B}^n$  the set of  $n$ -steps periodic flags (see section 6). Let  $\mathbb{C}_{GL_m(\mathbb{K})}[\mathcal{B}^n \times \mathcal{B}^n]$  be the convolution algebra of invariant complex functions supported on a finite number of  $GL_m(\mathbb{K})$ -orbits, where the convolution product

$$\star : \mathbb{C}_{GL_m(\mathbb{K})}[\mathcal{B}^n \times \mathcal{B}^n] \otimes \mathbb{C}_{GL_m(\mathbb{K})}[\mathcal{B}^n \times \mathcal{B}^n] \rightarrow \mathbb{C}_{GL_m(\mathbb{K})}[\mathcal{B}^n \times \mathcal{B}^n]$$

is defined as

$$f \star g(L'', L) = \sum_{L' \in \mathcal{B}^n} f(L'', L') \cdot g(L', L).$$

If  $i = 0, 1, \dots, n-1$  let  $m_i, \chi_i^\pm, \chi^0 \in \mathbb{C}_{GL_m(\mathbb{K})}[\mathcal{B}^n \times \mathcal{B}^n]$  be such that

- $m_i(L', L) = \dim(L_i/L_0), \quad \forall L, L' \in \mathcal{B}^n,$
- $\chi_i^\pm$  is the characteristic function of the set

$$\{(L^\pm, L^\mp) \in \mathcal{B}^n \times \mathcal{B}^n \mid L_j^- \subset L_j^+ \quad \text{and} \quad \dim(L_j^+/L_j^-) = \delta(\bar{i} = \bar{j}), \quad \forall j \in \mathbb{Z}\},$$

- $\chi^0$  is the characteristic function of the diagonal in  $\mathcal{B}^n \times \mathcal{B}^n$ .

**Proposition.** *The map*

$$\mathbf{e}_i \mapsto q^{m_{i-1}-m_i} \chi_i^+, \quad \mathbf{f}_i \mapsto q^{m_i-m_{i+1}} \chi_i^-, \quad \mathbf{k}_i \mapsto q^{2m_i-m_{i-1}-m_{i+1}} \chi^0,$$

*extends to an algebra homomorphism  $\dot{\mathbf{U}} \rightarrow \mathbb{C}_{GL_m(\mathbb{K})}[\mathcal{B}^n \times \mathcal{B}^n]$ .*

*Proof.* We have to prove the  $q$ -deformed Kac-Moody relations written in section 3. The relations

$$\begin{aligned} \mathbf{k}_i \cdot \mathbf{k}_i^{\pm 1} &= 1, & \mathbf{k}_i \cdot \mathbf{k}_j &= \mathbf{k}_j \cdot \mathbf{k}_i, \\ \mathbf{k}_i \cdot \mathbf{e}_j &= q^{a_{ij}} \mathbf{e}_j \cdot \mathbf{k}_i, & \mathbf{k}_i \cdot \mathbf{f}_j &= q^{-a_{ij}} \mathbf{f}_j \cdot \mathbf{k}_i, \end{aligned}$$

are immediate. As for

$$[\mathbf{e}_i, \mathbf{f}_j] = \delta(i=j) \frac{\mathbf{k}_i - \mathbf{k}_i^{-1}}{q - q^{-1}},$$

let first remark that

$$\begin{aligned} q^{m_{i-1}-m_i} \chi_i^+ \star q^{m_j-m_{j+1}} \chi_j^- &= q^{m_{i-1}-m_i+m_j-m_{j+1}+\delta(i=j)-\delta(i=j+1)} \chi_i^+ \star \chi_j^-, \\ q^{m_j-m_{j+1}} \chi_j^- \star q^{m_{i-1}-m_i} \chi_i^+ &= q^{m_{i-1}-m_i+m_j-m_{j+1}+\delta(i=j)-\delta(i=j+1)} \chi_j^- \star \chi_i^+. \end{aligned}$$

If  $i \neq j$  then  $\chi_i^+ \star \chi_j^- = \chi_j^- \star \chi_i^+$  is the characteristic function of the set of pairs  $(L', L)$  such that

$$L'_k = L_k \quad \text{if} \quad \bar{k} \neq \bar{j}, \bar{i}, \quad L'_j \subset L_j, \quad L_i \subset L'_i, \quad \dim(L_j/L'_j) = \dim(L'_i/L_i) = 1.$$

Thus,

$$\begin{aligned} [\mathbf{e}_i, \mathbf{f}_j] &= \delta(i=j) q^{m_{i-1}-m_{i+1}+1} (\chi_i^+ \star \chi_i^- - \chi_i^- \star \chi_i^+), \\ &= \delta(i=j) q^{m_{i-1}-m_{i+1}+1} (q^{2(m_i-m_{i-1})} - q^{2(m_{i+1}-m_i)})(q^2 - 1)^{-1} \chi^0, \end{aligned}$$

where the last equality simply comes from  $\#(\mathbb{F}\mathbb{P}^k) = 1 + q^2 + \dots + q^{2k}$ . Since the  $\mathbf{e}_i$ ,  $\mathbf{f}_i$  are locally nilpotent and since the  $\mathbf{k}_i$  are semisimple, the Serre relations follow from general theory of  $\dot{\mathbf{U}}$ .  $\square$

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